

Top-down control of the phase of alpha-band oscillations as a mechanism for temporal prediction

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The physiological state of the brain before an incoming stimulus has substantial consequences for subsequent behavior and neural processing. For example, the phase of ongoing posterior alpha-band oscillations (8–14 Hz) immediately before visual stimulation has been shown to predict perceptual outcomes and downstream neural activity. Although this phenomenon suggests that these oscillations may phasically route information through functional networks, many accounts treat these periodic effects as a consequence of ongoing activity that is independent of behavioral strategy. Here, we investigated whether alpha-band phase can be guided by top-down control in a temporal cueing task. When participants were provided with cues predictive of the moment of visual target onset, discrimination accuracy improved and targets were more frequently reported as consciously seen, relative to unpredictable cues. This effect was accompanied by a significant shift in the phase of alpha-band oscillations, before target onset, toward each participant's optimal phase for stimulus discrimination. These findings provide direct evidence that forming predictions about when a stimulus will appear can bias the phase of ongoing alpha-band oscillations toward an optimal phase for visual processing, and may thus serve as a mechanism for the top-down control of visual processing guided by temporal predictions.

neural oscillations | prediction | attention | visual awareness | alpha-band phase

Forming appropriate perceptual predictions optimizes neural processing and behavior. One intriguing proposal is that cortical oscillations instantiate perceptual predictions by coordinating prestimulus neural activity to process the predicted stimulus optimally (1, 2). A candidate neural mechanism for such coordination is low-frequency oscillations in the alpha band (8–14 Hz) of human electroencephalography (EEG) recordings, which are suggested to route information phasically through task-relevant networks (3, 4). As evidence, recent work has demonstrated that the prestimulus alpha-band phase predicts visual detection (5, 6), the perception of phosphenes (7), the magnitude of the functional MRI (fMRI) response in visual cortex (8), successful perceptual integration across the visual field and subsequent connectivity between visual and parietal cortex (9), as well as variability in working memory performance (10). However, these effects are most often revealed in after-the-fact sorting of procedurally identical trials according to perceptual or behavioral outcome, implying that trial-by-trial performance may be stochastically determined by the oscillatory state that “just happens” to be in place at the time of the event of interest. In the present study, in contrast, we manipulated temporal prediction as an independent variable to investigate whether the top-down control of alpha-band dynamics may be a mechanism through which perceptual predictions can optimally configure prestimulus neural activity.

Specifically, we tested if cueing human observers to the time at which a target visual stimulus would appear would bias the phase of ongoing alpha-band oscillations toward an optimal phase for visual discrimination. In experiment 1, we established that cues predictive of the moment of target appearance significantly

enhanced orientation discrimination and subjective visibility. This step was important because even though much work using temporal cueing paradigms has established that response times improve for targets appearing at predicted moments in time (reviewed in 11, 12), it is less clear whether temporal cueing improves perception (13–16). In a second experiment, we replicated the aforementioned behavioral effect while concurrently recording EEG, and found that temporal predictions led to a bias in the phase of ongoing alpha-band oscillations toward each participant's optimal phase for visual discrimination.

Results

We investigated whether temporal predictions established in a top-down manner, through the use of symbolic cues, would enhance perception of briefly presented, backward-masked Gabor patches (experiment 1), and if so, whether this enhanced perception was achieved via optimization of the phase of alpha oscillations before the onset of the predicted target (experiment 2). Following an initial staircase procedure to titrate performance to ~80% accuracy, participants were presented with colored fixation crosses that indicated whether an oriented Gabor patch would appear following a short (650 ms), long (1,400 ms), or unpredictable (randomly chosen to be 650, 900, 1,150, or 1,400 ms; Fig. 1) delay. They were instructed to indicate the Gabor's orientation (left or right), and then to indicate their subjective visibility of the Gabor with a “seen” or “guess” judgment (experiment 1) or a rating on the four-point, perceptual awareness scale (PAS; experiment 2). The PAS was used in the second experiment to assess awareness using a more fine-grained and established scale of subjective visibility (17). The

Significance

In contrast to canonical, stimulus-driven models of perception, recent proposals argue that perceptual experiences are constructed in an active manner in which top-down influences play a key role. In particular, predictions that the brain makes about the world are incorporated into each perceptual experience. Because forming the appropriate sensory predictions can have a large impact on our visual experiences and visually guided behaviors, a mechanism thought to be disrupted in certain neurological conditions like autism and schizophrenia, an understanding of the neural basis of these predictions is critical. Here, we provide evidence that perceptual expectations about when a stimulus will appear are instantiated in the brain by optimally configuring prestimulus alpha-band oscillations so as to make subsequent processing most efficacious.

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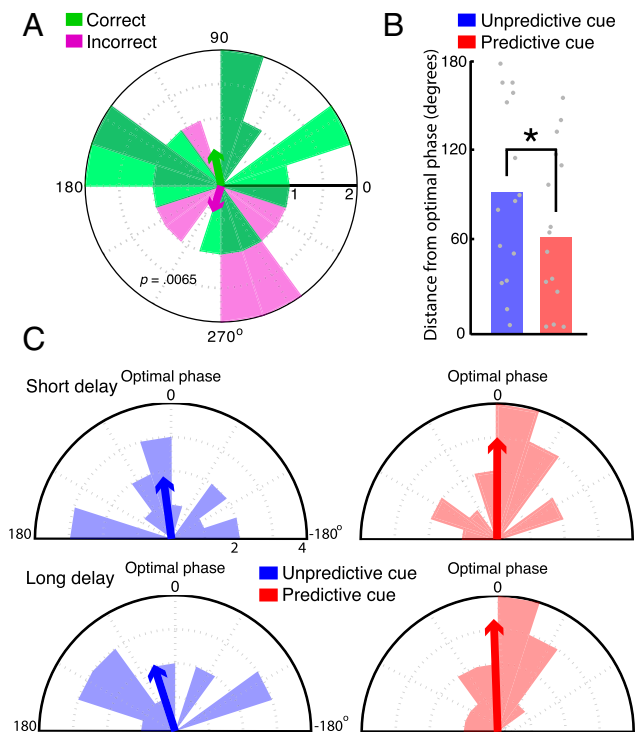


Fig. 5. Alpha-band phase at predicted time points is biased toward individuals' optimal phase for discrimination. (A) Phase histogram showing significant differences between the phase of alpha oscillations at target onset for correct and incorrect trials across all delays. The horizontal black line indicates the number of participants with the corresponding mean phase angle in each bin. The direction of the arrows indicates the mean phase angle, and the length of the arrows indicates the extent to which phases were clustered around the mean. (B) Main effect of temporal cueing on phase bias, demonstrating that predictive cues led to a reduction in the phase at target onset and participants' optimal phase for stimulus discrimination. $*P = 0.019$. (C) Phase histograms of the circular distance between each participant's mean phase angle on correct trials and his/her mean phase angle in each condition, demonstrating greater clustering around participants' optimal phase (zero degrees) following predictive cues.

cues may have led to a convergence on an ideal alpha frequency for the task.

Alpha-Band Phase During Predicted Time Windows Is Biased Toward an Optimal Phase for Perception. To determine if the observed differences in prestimulus alpha phase were biased toward individuals' optimal phase for target discrimination, we first tested if optimal performance on the task was associated with a particular phase of alpha. To this end, we collapsed across all trial types, excluding catch trials (20% of trials on which no target was presented), and tested for phase differences at target onset between correct and incorrect trials. This analysis revealed a significant difference [$F(1,28) = 8.65$, $P = 0.0065$] between the mean phase angle of alpha oscillations at target onset for trials subsequently answered correctly vs. incorrectly (Fig. 5A). We verified this result using a resampling procedure that equates for the number of trials in each condition (SI Discussion). This result indicates that accuracy was higher at a certain phase of prestimulus alpha oscillations, and hence that there is an optimal phase in the task. We then computed the circular distance between each individual's mean phase angle at target onset for correct trials and his/her observed phase angle at target onset for each condition. Crucially, we used only catch trials when defining each participant's distance from his/her optimal phase

so as not to "double-dip" in the data by defining the optimal phase with the same data that would later be tested for differences. Taking the absolute value of these distances converts the data into a linear variable that can be analyzed with conventional statistics, and we submitted these distance values to a repeated-measures ANOVA with delay (short, long) and cue type (predictive, unpredictable) as within-subject factors. This analysis revealed a significant main effect of cue type [$F(1,14) = 7.01$, $P = 0.019$, $\eta_p^2 = 0.33$], indicating that alpha phase at predicted, compared with unpredicted, time points was closer to each individual's optimal phase for stimulus discrimination (Fig. 5B and C). This finding was observed also when a cluster of electrodes, chosen according to maximal pretarget alpha power, was analyzed (Fig. S1) and was absent when tested with the same EEG data filtered for delta (1–4 Hz), theta (4–7 Hz), and low beta (15–20 Hz) bands, both at electrode Pz (Fig. S4) and at electrode clusters defined by maximal power in each frequency band (Fig. S1).

Discussion

By manipulating predictions about the time of target appearance during a visually demanding discrimination task, we provide direct evidence that top-down temporal predictions can improve visual discrimination and conscious perception, and that this improvement is accomplished, in part, via modulation of the phase of alpha-band oscillations before target onset. Alpha-phase angle at target onset predicted successful orientation discrimination, revealing an optimal phase for visual processing. Critically, temporal cueing resulted in a bias in pretarget phase toward each individual's optimal phase angle for visual processing.

Posterior Alpha-Band Oscillations as a Substrate for the Top-Down Control of Visual Processing.

That the phase of alpha was observed to differ just before target onset might suggest that the control of alpha phase occurred in a sudden manner, just at the critical moment for target detection. Alternatively, it could be that the dominant alpha frequency changed throughout the delay period as a result of temporal cueing, such that the oscillation was more likely to be at its optimal phase when the target appeared. Our data support the latter interpretation, in that it was found that participants with slower PAF during unpredictable cue trials tended to show faster PAF during predictively cued trials, and vice versa. This result provides insight into one way in which the alpha rhythm, ubiquitous in visual circuits during all phases of wakefulness, may serve as a substrate for the implementation of top-down control of visual processing. Another example of task-related control of alpha phase has recently been described in a working memory experiment, in which alpha-phase clustering was greater before the anticipated onset of strong, relative to weak, distracting stimuli (30). These two demonstrations of the control of alpha phase add to a large extant body of literature demonstrating that alpha power is also modulated by top-down influences during a wide variety of attentional tasks (reviewed in 31).

Understanding the factors that determine which parameters of the alpha-band oscillation are sensitive to different attentional contingencies is an important goal for future research. For example, a recent study that contrasted attention to visual vs. auditory stimuli reported modality-related change in alpha power, but not phase (32). Notably, however, the two conditions in that study featured equal temporal predictability (unlike the present study) and did not explicitly contrast the strength of distraction [as did Bonnefond et al. (30)]. Interestingly, it has also recently been found that the perceptual benefit of temporal cueing depends on the predicted stimulus appearing in an attended spatial location (32). Because it is known that alpha power modulates retinotopically according to the allocation of spatial attention (33–36), one possibility is that top-down modulations of the

following analysis was conducted at electrode Pz, based on the topography of alpha power we observed (Fig. 3A) and on where alpha-phase effects on visual perception were previously reported (6). A further analysis of a cluster of 10 electrodes based on maximal delay period alpha power showed comparable effects (Fig. S1). For each trial, data from -1.5 to 2.5 s centered on cue onset were band-pass-filtered with a Hamming windowed-sinc finite impulse response zero-phase filter (EEGLAB function `pop_eegfiltnew.m`) between 9 and 13 Hz. The filter order was defined to be 25% of the lower passband edge. Instantaneous phase was extracted from the single-trial-filtered data by taking the phase angle (MATLAB function `angle.m`) of the Hilbert transformed

data (MATLAB function `hilbert.m`). This method of estimating phase is comparable to wavelet and FFT approaches (48) and has been used in a number of prior electrophysiology experiments (24, 49, 50). This procedure resulted in a time series of phase values from -1.5 s before to 2.5 s following cue onset that is equal in size to the input data. Circular statistics were computed using the Circular Statistics Toolbox for MATLAB (23). We also conducted an FFT analysis in which a zero-padded FFT was applied to each participant's averaged alpha time series for each condition from 400 ms before target onset. From this analysis, we extracted the PAF for each condition and participant, defined as the frequency at which amplitude was maximal.

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