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## Overlooked tertiary sulci serve as a meso-scale link between microstructural and functional properties of human lateral prefrontal cortex

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**Title**: Overlooked tertiary sulci serve as a meso-scale link between microstructural and functional properties of human lateral prefrontal cortex

Abbreviated title: Tertiary sulcal morphology in human prefrontal cortex

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#### 49 Abstract

50

51 Understanding the relationship between neuroanatomy and function in portions of cortex that 52 perform functions largely specific to humans such as lateral prefrontal cortex (LPFC) is of major 53 interest in systems and cognitive neuroscience. When considering neuroanatomical-functional 54 relationships in LPFC, shallow indentations in cortex known as tertiary sulci have been largely 55 unexplored. Here, by implementing a multi-modal approach and manually defining 936 56 neuroanatomical structures in 72 hemispheres (in both males and females), we show that a subset 57 of these overlooked tertiary sulci serve as a meso-scale link between microstructural (myelin 58 content) and functional (network connectivity) properties of human LPFC in individual 59 participants. For example, the *posterior middle frontal sulcus (pmfs)* is a tertiary sulcus with 60 three components that differ in their myelin content, resting state connectivity profiles, and engagement across meta-analyses of 83 cognitive tasks. Further, generating microstructural 61 profiles of myelin content across cortical depths for each pmfs component and the surrounding 62 63 middle frontal gyrus (MFG) shows that both gyral and sulcal components of the MFG have greater myelin content in deeper compared to superficial layers and that the myelin content in 64 65 superficial layers of the gyral components is greater than sulcal components. These findings support a classic, yet largely unconsidered theory that tertiary sulci may serve as landmarks in 66 association cortices, as well as a modern cognitive neuroscience theory proposing a functional 67 68 hierarchy in LPFC. As there is a growing need for computational tools that automatically define 69 tertiary sulci throughout cortex, we share *pmfs* probabilistic sulcal maps with the field.

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#### 76 Significance statement

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78 Lateral prefrontal cortex (LPFC) is critical for functions that are thought to be specific to humans 79 compared to other mammals. However, relationships between fine-scale neuroanatomical 80 structures largely specific to hominoid cortex and functional properties of LPFC remain elusive. 81 Here, we show that these structures, which have been largely unexplored throughout history, 82 surprisingly serve as markers for anatomical and functional organization in human LPFC. These 83 findings have theoretical, methodological, developmental, and evolutionary implications for 84 improved understanding of neuroanatomical-functional relationships not only in LPFC, but also 85 in association cortices more broadly. Finally, these findings ignite new questions regarding how 86 morphological features of these neglected neuroanatomical structures contribute to functions of 87 association cortices that are critical for human-specific aspects of cognition.

#### 99 Introduction

100 Understanding how anatomical structures of the brain support functional gradients and 101 networks that perform computations for human-specific aspects of cognition is a major goal in 102 systems and cognitive neuroscience. Of the many anatomical structures to target, lateral 103 prefrontal cortex (LPFC) is expanded in the human brain relative to non-human primate species 104 commonly used in neuroscience research, such as rhesus macaques (Semendeferi et al., 2002; 105 Donahue et al., 2018; Barrett et al., 2020), and is particularly important given its central role in 106 cognitive control and goal-directed behavior (Miller and Cohen, 2001; Szczepanski and Knight, 107 2014). Major progress has been made in understanding the relationship between the functional 108 organization and the large-scale cortical anatomy of human LPFC. For example, previous 109 findings support a hierarchical functional gradient organized along the rostral-caudal anatomical 110 dimension of LPFC spanning several centimeters (Badre and D'Esposito, 2009; Nee and 111 D'Esposito, 2016; Demirtas et al., 2019). Beyond this large-scale organization of human LPFC, 112 it is largely unknown if more fine-grained structural-functional relationships exist. Thus, to begin 113 to fill this gap in knowledge, we sought to answer the following question in the present study: Do 114 individual differences in fine-grained morphological features of LPFC shed light on 115 microstructural and functional properties of LPFC?

An important morphological feature of cortex is the patterning of the indentations, or sulci. Indeed, 60-70% of the cortex is buried in sulci and some sulci serve as landmarks that identify different cortical areas, especially in primary sensory cortices (Van Essen and Dierker, 2007; Zilles et al., 2013). In these cases, merely identifying a sulcus provides functional insight (Hinds et al., 2008). Despite this widely replicated relationship between sulcal morphology and functional representations in primary sensory cortices, much less is known regarding the

predictability between shallow, tertiary sulci and functional representations in association cortex, especially LPFC. A classic theory proposed by Sanides (1964) hypothesized that the late emergence and protracted development of tertiary sulci may co-occur with microstructural and functional features of association cortices, along with cognitive functions such as sustained attention and "active thinking" (Sanides, 1964) that also develop fully after adolescence (Fisher, 2019).

128 However, at least two factors have prevented the examination of tertiary sulci relative to 129 anatomical and functional organization in human LPFC. First, tertiary sulci are presently 130 excluded from nearly all published neuroanatomical atlases because classic anatomists could not 131 discriminate tertiary sulci from indentations produced by veins and arteries on the outer surface 132 of the cerebrum in post-mortem tissue, which is considered the gold standard of anatomical 133 research (Weiner et al., 2018). Consequently, tertiary sulci within the posterior middle frontal 134 gyrus (MFG) were either undefined in classic atlases or conflated with more anterior structures 135 (Figure 1; (Miller et al., 2020a)). Second, the majority of human functional magnetic resonance 136 imaging (MRI) studies of LPFC implement group analyses on average brain templates. As 137 shown in Figure 1, averaging cortical surfaces together causes tertiary sulci in LPFC to 138 disappear, especially within the posterior MFG.

139

#### [INSERT FIGURE 1 HERE]

Here, we implemented a multi-modal approach demonstrating that identifying individual sulci in LPFC reveals that the *posterior middle frontal sulcus (pmfs)* serves as a meso-scale link between microstructural (myelin content) and functional (network connectivity) properties of human LPFC in individual participants. Specifically, after manually labeling LPFC tertiary sulci

144 in 72 hemispheres based on a recently proposed labeling scheme (Petrides and Pandya, 2012; 145 Petrides, 2019), we found that three components of the *pmfs* are dissociable based on myelin 146 content, resting state functional connectivity profiles, and cognitive task activations. Moreover, 147 the *pmfs* shows a distinct microstructural profile of myelin content across cortical depths from 148 the surrounding MFG and distinct functional activations from the intermediate frontal sulcus 149 (imfs). Together, these results not only provide important evidence that individual differences in 150 LPFC sulcal patterning reflect meaningful differences in microstructural and functional 151 properties, but also suggest that the *pmfs* serves as a bridge to Sanides' classic hypothesis.

#### 153 Materials and Methods

154

In the sections below, we describe the data used and the analysis methods implemented in three separate sections: 1) the general approach and a description of the multi-modal datasets that were used, 2) a detailed description of the methodology used for sulcal labeling within individual participants, and 3) the calculation of anatomical and functional metrics.

159

#### 160 General approach

We sought to characterize sulcal morphology at the individual level in the LPFC of the human brain. To implement this process, we manually defined sulci following the most recent and comprehensive proposed labeling of sulci in the frontal lobe (Petrides and Pandya, 2012; Petrides, 2019). As in our prior work (Weiner et al., 2014; Weiner et al., 2018), all sulci were defined in native space cortical surfaces and individual hemispheres, which enables the most accurate definition of tertiary sulci within *in vivo* MRI data.

167

#### 168 Multi-modal HCP dataset

We analyzed a subset of the multi-modal MRI data available for individual participants from the Human Connectome Project (HCP). We began with the first 5 numerically listed HCP participants and then randomly selected 31 additional human participants from the HCP for a total of 36 individuals (17 female, 19 male, age range 22-36 years).

Anatomical  $T_1$ -weighted ( $T_1$ w) MRI scans (0.7 mm voxel resolution) were obtained in native space from the HCP database, along with outputs from the FreeSurfer pipeline slightly modified by the HCP (Dale et al., 1999; Fischl et al., 1999a; Glasser et al., 2013). Maps of the ratio of  $T_1$ weighted and  $T_2$ -weighted scans, which is a measure of tissue contrast enhancement related to

myelin content, were downloaded as part of the HCP 'Structural Extended' release. All
additional anatomical metrics, which are detailed in the next section, were calculated on the fullresolution, native FreeSurfer (<u>https://surfer.nmr.mgh.harvard.edu/</u>) meshes (Dale et al., 1999;
Fischl et al., 1999a; Fischl et al., 1999b).

181

#### 182 Anatomical labeling and metrics

#### 183 Manual sulcal labeling

184 Guided by a recent comprehensive proposal for labeling sulci in LPFC (Petrides, 2019), each 185 sulcus was manually defined within each individual hemisphere on the FreeSurfer *inflated* mesh 186 with *tksurfer*. The *curvature* metric in FreeSurfer distinguished the boundaries between sulcal and gyral components, and manual lines were drawn to separate sulcal components based upon 187 188 the proposal by Petrides and colleagues (Amiez and Petrides, 2007; Petrides and Pandya, 2012; 189 Petrides, 2019; Germann and Petrides, 2020), as well as the appearance of sulci across the 190 inflated, pial, and smoothwm surfaces. We maintained the number of components for all tertiary 191 sulci (e.g., the three components of the *posterior* middle frontal sulcus - pmfs) based on the 192 proposal by Petrides and colleagues to test if each of these sulcal components could be defined in 193 a relatively large sample size (N=72) of *in vivo* hemispheres. The labels were generated using a 194 two-tiered procedure. The labels were first defined manually by J.M. and W.V. and then 195 finalized by a neuroanatomist (K.S.W.). All anatomical labels for a given hemisphere were fully 196 defined before any morphological or functional analysis of the sulcal labels was performed. The 197 superior, inferior, posterior, and anterior boundaries of our cortical expanse of interest were the 198 following sulci, respectively: (1) the anterior and posterior components of the superior frontal 199 sulcus, (2) the inferior frontal sulcus, (3) the central sulcus, and (4) the horizontal (imfs-h) and

vertical (*imfs-v*) intermediate frontal sulci. In each hemisphere, we first labeled the large primary
sulci such as the central sulcus before labeling the secondary (e.g. *sfs*, *ifs*, *imfs*) sulci, and then
we identified the tertiary sulcal components of the *pmfs*. Primary, secondary, and tertiary labels
refer to the time in which the sulci emerge in gestation (Sanides, 1964; Chi et al., 1977; Welker,
1990; Armstrong et al., 1995). An example hemisphere with every sulcus labeled within these
boundaries is shown in Figure 2a, and the *pmfs* sulcal components are plotted on each
hemisphere in Extended Data Figure 2-1.

207

#### 208 Quantification of sulcal depth and surface area

209 Sulcal depth was calculated from the native meshes generated by the FreeSurfer HCP pipeline. 210 Raw values for sulcal depth (mm) were calculated from the sulcal fundus to the smoothed outer 211 pial surface using a custom-modified version of a recently developed algorithm for robust 212 morphological statistics building on the FreeSurfer pipeline (Madan, 2019). Surface area (mm<sup>2</sup>) 213 was generated for each sulcus through the mris anatomical stats function in FreeSurfer (Dale et 214 al., 1999; Fischl et al., 1999a). We focused on sulcal depth as it is the main measurement that is 215 used to discriminate tertiary sulci from primary and secondary sulci. Specifically, primary sulci 216 are deepest, while tertiary sulci are shallowest, and secondary sulci are in between (Sanides, 217 1964; Chi et al., 1977; Welker, 1990; Armstrong et al., 1995). We also included surface area as 218 tertiary sulci typically also have a reduced surface area compared to primary and secondary sulci. 219

220 Calculating  $T_1w/T_2w$  myelin index along an anterior-posterior dimension in LPFC

221 In order to test if there is a relationship between any of our sulci of interest and myelin content,

222 we used an *in vivo* proxy of myelination: the  $T_1w/T_2w$  maps for each individual hemisphere

223 (Glasser and Van Essen, 2011; Shams et al., 2019). To generate the  $T_1w/T_2w$  maps, two T1- and 224 T2-weighted images from each participant were registered together and averaged as part of the 225 HCP processing pipeline (Glasser et al., 2013). The averaging helps to reduce motion-related 226 effects or blurring. Additionally (and as described in Glasser et al., 2013), the  $T_1w/T_2w$  images 227 were bias-corrected for distortion effects with field maps. We averaged the  $T_1w/T_2w$  value across 228 each vertex for each sulcus in order to test if the *pmfs* sulcal components are separable based on 229 myelin content (Figure 3). We further sought to characterize the relationship between 230 morphology and myelin by determining if there was an anterior-posterior gradient of myelination 231 across individual hemispheres. To do so, we first calculated the minimum geodesic distance of 232 each vertex from the central sulcus. Geodesic distance was calculated on the *fiducial* surface 233 using algorithms in the pycortex package (Gao et al., 2015). Then, we averaged across the 234 vertices within each sulcus and tested for a linear relationship between average distance from the 235 central sulcus and myelin content. To take advantage of each participant's individual data, we 236 built a mixed linear model (random intercepts) in the lme4 R package, using sulci and 237 hemisphere as explanatory variables to correlate with average myelin content (Figure 3).

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238

#### 239 Sampling $T_1w/T_2w$ myelin index across cortical depths

In order to investigate the microstructural profile of the *pmfs* across cortical layers, we generated nine surfaces from the outermost (*pial*) to the innermost (*white matter*) layers in all of the manually labeled hemispheres using an equivolumetric approach (Waehnert et al., 2014). We implemented the equivolume surface algorithm spanning nine cortical depths with the *surfacetools* Python package that builds on top of FreeSurfer (Dale et al., 1999) outputs: https://github.com/kwagstyl/surface\_tools. The high-resolution  $T_1w/T_2w$  volumetric data in each 246 HCP participant's native anatomical space were then sampled onto each equivolume surface 247 using the FreeSurfer mri\_vol2surf function to obtain a value of T1w/T2w at each cortical depth. 248 The stability of depth profiles of  $T_1w/T_2w$  values extracted from individual regions was shown to 249 be highest in the same HCP dataset when using a solution of 14 equivolume surfaces, with 250 stability plateauing when using nine or more equivolume surfaces (Paquola et al., 2019). We 251 compared the mean  $T_1w/T_2w$  value across depths for each participant in the manually defined 252 pmfs components and the surrounding middle frontal gyrus (as defined by FreeSurfer 253 parcellations (Destrieux et al., 2010), but with the *pmfs* components removed). We then 254 conducted a repeated-measures ANOVA followed by post-hoc t-tests at each depth to test for 255 differences in myelin content between the *pmfs* components and the MFG (Figure 5). Tests across each of the nine cortical depths were corrected for multiple comparisons at a familywise 256 257 error (FWE) threshold of p = 0.05/9.

258

#### 259 Cross-validation of sulcal location

In order to quantify the ability to predict the location of each sulcus across participants, we registered all sulcal labels to a common template surface (*fsaverage*) using cortex-based alignment (Fischl et al., 1999b). Similarity between each transformed individual label and the labels defined on *fsaverage* was calculated via the DICE coefficient, where X and Y are each label:

$$DICE(X,Y) = \frac{2|X \cap Y|}{|X| + |Y|}$$

265

The cortex-based alignment algorithm aligns the surfaces based on sulcal depth and curvature metrics. We use the central sulcus as a proxy noise ceiling measurement for DICE coefficient values from other frontal sulci because it is a large and deep sulcus and is used in the surface
registration algorithm that aligns cortical surfaces across participants (Fischl et al., 1999b).

12

270 Sulcal probability maps were calculated to describe the vertices with the highest 271 alignment across participants for a given sulcus. A map was generated for each sulcus by 272 calculating, at each vertex in the *fsaverage* hemisphere, the number of participants with that 273 vertex labeled as the given sulcus, divided by the total number of participants. In order to avoid 274 overlap among sulci, we then constrained the probability maps into maximum probability maps 275 (MPMs) by only including vertices where (1) greater than 33% of participants included the given 276 sulcal label and (2) the sulcus with the highest value of participant overlap was assigned to a 277 given vertex. In a leave-one-participant out cross-validation procedure, we generated probability 278 maps from n = 35 participants and registered the probability map to the held-out participant's 279 native cortical surface. This provided a measure of sulcal variability and prediction accuracy 280 (Figure 8). This procedure also allows the identification of the *pmfs* sulcal components within 281 held-out individual participants, reducing the extent of manual labeling necessary to identify this 282 structure in future studies. Finally, the MPMs were used when analyzing meta-analytical 283 functional data (described in the section Cognitive Component Modeling) and whole brain 284 population receptive field data (Figure 7). The MPMs and code for alignment to new 285 participants will be available on OSF with the publication of this paper.

286

#### 287 <u>Functional metrics</u>

288 Resting-state network connectivity fingerprints

289 In order to test if the three *pmfs* sulcal components were functionally distinct from one another,

290 we calculated and compared functional connectivity network fingerprints for each sulcus.

291 Resting-state network parcellations for each individual participant were used from Kong et al. 292 (2018), who generated individual network definitions by applying a hierarchical Bayesian 293 network algorithm to produce maps for each of 17-networks (Yeo et al., 2011) in individual HCP 294 participants. These data were calculated in the template HCP fs LR 32k space. We resampled the 295 network profiles for each participant onto the *fsaverage* cortical surface and, then, to each native 296 surface using CBIG tools (https://github.com/ThomasYeoLab/CBIG). We then calculated the 297 overlap of each *pmfs* sulcus in each participant with each of the 17 resting-state networks. We 298 also separated the components of the *pmfs* and tested whether they showed similar or different 299 network connectivity fingerprints using a 3-way repeated-measures ANOVA (sulcal component 300 x network x hemisphere). Variability across individuals in the network profiles for each *pmfs* 301 component was calculated by generating the Wasserstein metric (Earth Mover's Distance) 302 between the resting-state network overlap values for each unique pair of participants (Figure 303 5b).

304

#### 305 Cognitive component modeling

306 To further examine if the *pmfs-p*, *pmfs-i*, and *pmfs-a* are functionally distinct, we quantified the 307 overlap between the maximum probability maps (MPMs) of each sulcal component and meta-308 analytic fMRI data from hundreds of experiments aligned to the *fsaverage* surface. Specifically, 309 we quantitatively related the sulcal MPMs to vertex-wise maps for 14 cognitive components, 310 which quantify how each vertex is recruited in a given set of cognitive operations across tasks 311 and experiments (Yeo et al., 2015). We used a Bayesian method of expectation maximization to 312 determine the combination of cognitive components that best fit each sulcal MPM. This resulted 313 in a set of probabilities for each cognitive component for each sulcal map. We tested whether all sulci and the three components of the *pmfs* were distinguishable based upon these cognitivecomponent loadings from a repeated-measures ANOVA (Figure 6).

316

#### 317 Retinotopic response mapping

318 To determine if there was any correspondence between the manually labeled LPFC sulci and 319 retinotopic representations, we analyzed a recent population receptive field mapping dataset 320 (Benson et al., 2018). As these data were only available in a template (*fsaverage*) space, we used 321 the predicted sulcal locations from probabilistic maps (as used in the cognitive components 322 analysis) for these analyses (Figure 7). For each sulcus, we extracted the mean  $R^2$  value (the 323 percentage of variance in each vertex explained by the population receptive field model) across participants for vertices that showed meaningful retinotopic responses (thresholded at  $R^2 > 10\%$ , 324 325 as in (Mackey et al., 2017)).

326

#### 327 Statistical methods

All repeated measures ANOVAs (including sphericity correction) and post-hoc t-tests were performed with the *afex* and *emmeans* R packages, imported into Python via *rpy2*. For each repeated measures ANOVA, cortical hemisphere and sulcus were used as within-subject factors. Effect sizes for each main effect and interaction were calculated and reported with the *generalized eta-squared* metric (Fritz et al., 2012). Mixed linear models were implemented in the *lme4* R package. Cortical surface files were loaded in and operated on in Python using the nilearn software: <u>https://nilearn.github.io</u>

335

338 Before conducting our multimodal examination relating morphological features of 339 tertiary sulci to microstructural and functional properties of LPFC, we first had to confront the 340 contradictory nature of historic and modern definitions of sulci within the middle frontal gyrus 341 (MFG). For example, sulcal definitions within the MFG vary in a) their nomenclature, b) the 342 number of sulcal components depicted or acknowledged in schematics, c) the omission or 343 inclusion of sulci within the posterior MFG, and d) the actual empirical data that is included to 344 support the illustration of the sulcal patterning (Figure 1). To ameliorate these concerns and to 345 either empirically support or to refute the generality of sulcal definitions within the posterior 346 MFG, we apply a classic, multimodal approach that has been used to distinguish cortical areas 347 from one another in order to determine sulcal definitions in the posterior MFG. Specifically, after 348 identifying each sulcus within the posterior MFG based on recent proposals (Petrides and 349 Pandya, 2012; Petrides, 2019), we use both anatomical and fMRI data to either support or refute 350 the identification of individual sulci within this cortical expanse. Implementing this two-pronged 351 approach, we first examined if the three components of the posterior middle frontal sulcus (*pmfs*) 352 are consistently identifiable within individual hemispheres. And if so, we then tested if the three 353 pmfs components are anatomically and functionally homogenous, or serve to identify anatomical 354 and functional heterogeneity in LPFC. This approach supports the latter in which there are three 355 anatomically and functionally distinct sulci within the posterior MFG: the posterior (*pmfs-p*), 356 intermediate (*pmfs-i*), and anterior (*pmfs-a*) posterior middle frontal sulci.

357

358

#### [INSERT FIGURE 2 HERE]

360 Three posterior middle frontal sulci (pmfs) are identifiable within individuals and are 361 characteristically shallow

362 Before examining the sulcal patterning within the posterior MFG, we first identified 363 reliable sulci (Materials and Methods: manual sulcal labeling) surrounding the MFG in both in 364 vivo cortical surface reconstructions of MRI data and post-mortem brains (Figure 2a). 365 Posteriorly, we identified the central sulcus (cs), as well as the superior (sprs) and inferior (iprs) 366 pre-central sulci. Superiorly, we identified the anterior (sfs-a) and posterior (sfs-p) superior 367 frontal sulci. Inferiorly, we identified the inferior frontal sulcus (ifs). Anteriorly, we identified 368 the horizontal (*imfs-h*) and vertical (*imfs-v*) intermediate frontal sulci. The latter two sulci are consistent with Eberstaller's classic definition of the middle frontal sulcus, but have since been 369 370 renamed (Figure 1; (Miller et al., 2020a)). Within the posterior MFG, we identified three sulci in 371 every hemisphere (N=72). From posterior to anterior, the first sulcus (pmfs-p) is positioned 372 immediately anterior to the sprs (Figure 2a, Extended Data Figure 2-1), and most commonly 373 does not intersect other sulci (see **Table 1** for a summary of the morphological patterns, or 374 types). The second sulcus (pmfs-i) is located immediately anterior to the pmfs-p, and typically 375 aligns with the separation between the sfs-a and sfs-p components. The pmfs-i is most often 376 independent (especially in the right hemisphere) or intersects (especially in the left hemisphere) 377 the *pmfs-a*. Finally, the third sulcus (*pmfs-a*) is immediately anterior to the *pmfs-i*, inferior to the 378 sfs-a, and posterior to the *imfs-h*. The *pmfs-a* most commonly intersects other sulci in the right 379 hemisphere.

380

#### [INSERT TABLE 1 HERE]

Each sulcus is also identifiable within individual *in vivo* volumetric slices (Petrides, 2019) and in postmortem brains (**Figure 2**), which indicates that the computational process used to generate the cortical surface reconstruction in the MRI data does not artificially create these sulci within the MFG. Our results show that the *pmfs* is distinguishable from the *imfs*, which is in correspondence with the recent atlas from Petrides (2019), whereas the *pmfs* and *imfs* were often combined in classic sulcal atlases (Ono et al., 1990).

387 The two most identifying morphological features of the three *pmfs* sulci are their surface 388 area and depth (Figure 2b). Each *pmfs* sulcus is of roughly equal surface area (Figure 2b, Table 389 2), which is smaller than the surface area of the other examined sulci in LPFC (Figure 2b, Table 390 2). A two-way repeated-measures ANOVA with factors sulcus and hemisphere yielded a main 391 effect of sulcus (*F*(5.78, 202.15) = 384.1, p < 0.001,  $\eta_{g}^{2}$  = 0.84) and no main effect of hemisphere (*F*(1, 35) = 392 0.1, p = 0.77). The depth of the three *pmfs* sulci are also the shallowest of the lateral PFC sulci 393 examined (Figure 2b, Table 1). A two-way repeated-measures ANOVA with sulcus and 394 hemisphere as factors yielded a main effect of sulcus (*F*(3.15, 103.84) = 77.7, *p* < 0.001,  $\eta_{g}^{2}$  = 0.55), and a 395 main effect of hemisphere (*F*(1, 33) = 20.4, p < 0.001,  $\eta_{g}^{2} = 0.02$ ) in which sulci were deeper in the right 396 compared to the left hemisphere (Figure 2b, Table 2). Post-hoc tests show that, across 397 hemispheres, the *pmfs-p* is shallower than all other sulci (p-values < 0.001, Tukey's adjustment), and the 398 pmfs-i and pmfs-a are shallower than all other sulci except for the imfs-v. Taken together, three 399 pmfs sulci are identifiable in individual hemispheres (Figure 2, Extended Data Figure 2-1) and 400 distinguish themselves from other LPFC sulci based on their surface area and shallowness.

401

#### [INSERT TABLE 2 HERE]

### 403 The pmfs-p, pmfs-i, and pmfs-a are anatomically dissociable and reflect a larger rostro-caudal

404 myelination gradient in LPFC

405 While the *pmfs-p*, *pmfs-i*, and *pmfs-a* are morphologically distinct from surrounding sulci 406 (Figure 2), it is presently unknown if they are anatomically and functionally similar or distinct 407 from one another. To test this, we first extracted and compared average MRI  $T_1w/T_2w$  ratio 408 values from each sulcus. The  $T_1w/T_2w$  ratio is a tissue contrast enhancement index that is 409 correlated with myelin content (Figure 3a; (Glasser and Van Essen, 2011; Shams et al., 2019)). 410 We chose this index because myeloarchitecture is a classic criterion used to separate cortical 411 areas from one another (Vogt and Vogt, 1919; Flechsig, 1920; Hopf, 1956; Dick et al., 2012). A 412 two-way repeated-measures ANOVA with sulcus and hemisphere as factors yielded a main 413 effect of sulcus ( $F(1.76, 61.7) = 85.0, p < 0.001, \eta_{6}^{2} = 0.39$ ) and a main effect of hemisphere (F(1, 35) = 10.5, p414 = 0.003,  $\eta_c^2$  = 0.05) on myelin content, but no sulcus x hemisphere interaction (*F*(1.73, 60.5) = 2.5, *p* = 0.10). 415 The differences in myelin across sulci were driven by the finding that  $T_1w/T_2w$  decreased from 416 posterior to anterior across hemispheres: pmfs-p vs. pmfs-i, t(70) = 9.75, p < 0.001 (Tukey's post-hoc), pmfs-i vs. pmfs-a, t(70) = 2.62, p = 0.029, and pmfs-p vs. pmfs-a, t(70) = 12.37, p < 0.001. The right 417 418 hemisphere also had higher myelin content overall in the *pmfs*, t(35) = 3.25, p = 0.003. Accordingly, 419 the three sulcal components are differentiable based on myelin content in both hemispheres 420 (Figure 3b).

421

#### [INSERT FIGURE 3 HERE]

422 The rostro-caudal gradient among the *pmfs-p*, *pmfs-i*, and *pmfs-a* sulci is embedded 423 within a larger rostro-caudal myelination gradient in lateral PFC. Specifically, modeling 424  $T_1w/T_2w$  content across frontal sulci as a function of distance from the central sulcus (**Figure 3c**)

425	using a mixed linear model revealed a significant, negative effect of distance from the central
426	sulcus along the rostral-caudal axis ( $\beta$ = -0.001, z = -33.8, p < 0.001), with no differences between
427	hemispheres ( $\beta$ = -0.003, z = -0.8, p = 0.4). Together, our quantifications show that the <i>pmfs-p</i> , <i>pmfs-i</i> ,
428	and <i>pmfs-a</i> are embedded within a larger anatomical and functional hierarchical gradient in
429	LPFC (see <b>Discussion</b> for further details).

The pmfs components show a microstructural profile across cortical layers that is distinct from
the middle frontal gyrus (MFG)

433 Classic and modern findings show that there is generally more intracortical myelin in 434 deeper cortical layers and that the depths of sulci often have less myelinated fibers than gyral 435 crowns (Braitenberg, 1962; Sanides, 1972; Welker, 1990; Annese et al., 2004; Rowley et al., 436 2015). Building on this work, we sought to calculate microstructural profiles for myelin content 437 across cortical depths for each *pmfs* component, as well as the gyral components of the MFG that 438 surround them (Figure 4; Materials and Methods). To do so, we implemented equivolume 439 algorithms to construct cortical surfaces within the gray matter. The depth profiles from 440 equivolume surfaces have been used to investigate cortical laminar organization in vivo and 441 correspond with those obtained from both ex vivo MRI data and post-mortem histological 442 sections (Waehnert et al., 2014; Paquola et al., 2019).

443

#### [INSERT FIGURE 4 HERE]

444 The MFG and *pmfs* components show distinct microstructural profiles of myelin content 445 across cortical depths. A three-way repeated-measures ANOVA with factors of structure (pmfs-p, 446 pmfs-i, pmfs-a, MFG), cortical depth (0%, 12.5%, 25%, 37.5%, 50%, 62.5%, 75%, 87.5%, 100%), and 447 hemisphere (*left, right*), yields main effects of structure ( $F(2.26, 78.94) = 15.6, p < 0.001, \eta_G^2 = 0.007$ ), depth 448  $(F(1.39, 48.49) = 1849.6, p < 0.001, \eta_G^2 = 0.84)$ , and a structure x depth interaction (F(6.78, 237.43) = 78.5, p < 0.001)449 0.001,  $\eta_{g}^{2} = 0.02$ ). This interaction between structure and depth did not differ by hemisphere (F(4.69, 450 164.26) = 1.13, p = 0.35,  $\eta_{g}^{2} = 0.02$ ), so subsequent analyses are collapsed across hemispheres. To 451 determine which differences drive the distinct profiles in myelin content across cortical layers 452 between the *pmfs* and MFG, we conducted post-hoc tests at each cortical depth (Figure 4a). The 453 MFG had higher myelin content in each of the upper cortical depths (0%, 12.5%, 25%, 37.5%)

454	compared to all of the <i>pmfs</i> components (all <i>p-values</i> < 0.001, FWE-corrected at $\alpha$ = 0.05/9 for the 9 cortical
455	depths). In the middle-to-deep layers (50%, 62.5%), the <i>pmfs-p</i> had higher myelin content than either
456	the <i>pmfs-i</i> (50%: t(105) = 6.4, $p < 0.001$ ; 62.5%: t(105) = 7.0, $p < 0.001$ ) or <i>pmfs-a</i> (50%: t(105) = 7.1, $p < 0.001$ ; 62.5%:
457	t(105) = 8.1, p < 0.001), and was even higher than the MFG (50%: $t(105) = 0.27, p = 0.99$ ; 62.5%: $t(105) = 3.7, p = 0.99$ ; 63.5%: t(105) = 0.9; 63.5%: t(105) = 0.9; 63.5%: t(105) = 0.9; 63.5%: t(105) = 0.9; 63.5%: t(105) =
458	0.002). At the deepest cortical layers, closest to the gray/white matter boundary, all three pmfs
459	components showed increased myelin relative to the MFG. Specifically, the <i>pmfs-a</i> showed the
460	highest myelin content in the deepest layers, but all three pmfs components displayed higher
461	myelin than the MFG (all <i>p</i> -values < 0.001, FWE-corrected at $\alpha$ = 0.05/9 for the 9 cortical depths). The profile of
462	myelin content across cortical depths in the <i>pmfs</i> and MFG is also robust when comparing
463	myelin content at a coarser (3 instead of 9) level of upper, middle, and lower depths (mean of
464	depths within each bin): structure x depth interaction ( $F(3.87, 135.4) = 127.4$ , p < 0.001, $\eta_G^2 = 0.02$ ).
465	Altogether, the <i>pmfs</i> differed from the MFG in microstructure across cortical layers, with lower
466	myelin content in upper layers and higher myelin content in deeper layers. This surface-based
467	sampling of cortical depths provides in vivo neuroimaging evidence for a microanatomical
468	distinction of the <i>pmfs</i> from the surrounding MFG. Further, the depth profiles of $T_1w/T_2w$ values
469	within the MFG are similar to classic myeloarchitectural quantifications of the MFG (Figure 4).

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474 The pmfs-p, pmfs-i, and pmfs-a exhibit different characteristic patterns of whole brain functional
475 connectivity

476 To determine if the *pmfs-p*, *pmfs-i*, and *pmfs-a* are functionally distinct, we leveraged 477 detailed individual functional parcellations of the entire cerebral cortex based on functional 478 connectivity from a recently published study (Kong et al., 2018; Figure 5a). Importantly, this 479 parcellation was conducted blind to both cortical folding and our sulcal definitions. Within each 480 hemisphere in the same participants in which we generated manual sulcal labels, we generated a 481 functional connectivity network profile (which we refer to as a "connectivity fingerprint"). For each sulcal component, we calculated the overlap between 17 functional networks (on the native 482 483 hemisphere, based on the DICE coefficient; Materials and Methods). This technique generated 484 a cortical topography reflective of the whole-brain connectivity patterns for each sulcal 485 component (Figure 5a, bottom), and can be interpreted similarly to other studies of functional 486 network variations (Gordon et al., 2017; Seitzman et al., 2019), as a trait-like connectivity profile 487 for each *pmfs* component within each participant.

488

#### [INSERT FIGURE 5 HERE]

Our approach demonstrated that the *pmfs-p*, *pmfs-i*, and *pmfs-a* have different connectivity fingerprints and thus, are functionally dissociable. Average connectivity fingerprints across participants are illustrated in **Figure 5b**. A repeated-measures ANOVA with sulcal component (*pmfs-p*, *pmfs-i*, *pmfs-a*), hemisphere (left, right), and network yielded a significant component x network interaction (*F*(32, 1120) = 45.2, *p* < 0.001,  $\eta_G^2$  = 0.29), as well as a component x network x hemisphere interaction (*F*(32, 1120) = 5.26, *p* < 0.001,  $\eta_G^2$  = 0.040) (**Figure 5b**). In each hemisphere, there is a component x network interaction (left: *F*(32, 1120) = 29.4, *p* < 0.001,  $\eta_G^2$  = 0.35, right: 496  $F(32, 1120) = 23.2, p < 0.001, \eta_G^2 = 0.27)$  in which the difference between hemispheres is driven by the 497 *pmfs-p* connectivity fingerprint. Specifically, the *pmfs-p* overlaps most with the default mode 498 network in the left hemisphere and the cognitive control network in the right hemisphere.

499 Additionally, there are also individual and hemispheric differences in the connectivity 500 fingerprint of each *pmfs* component at the level of individual participants (Figure 5c; Extended 501 **Data Figure 5-1**). To characterize individual differences, we built on work showing network 502 connectivity variations across individuals (Kong et al., 2018; Seitzman et al., 2019) by relating 503 this connectivity variability to individual anatomical landmarks in LPFC. We quantified 504 connectivity fingerprint variability by measuring the pairwise Wasserstein distance between the 505 connectivity profiles for all unique participant pairs for each sulcal component, in which a larger 506 distance indicates decreased similarity, and therefore greater variability (see Materials and 507 Methods). This approach quantifies how variable the pattern of network overlap (connectivity 508 fingerprint) is across individuals for each *pmfs* component (Figure 5c, right). In the right 509 hemisphere, the *pmfs-p* showed the most variable network profile across all unique participant 510 pairs (pmfs-p vs. pmfs-i, Wilcoxson-Signed rank test,  $W = 7.2 \times 10^4$ , p < 0.001, pmfs-p vs. pmfs-a,  $W = 7.4 \times 10^4$ , p < 0.001), while the pmfs-i was most variable in the left hemisphere (pmfs-i vs. pmfs-a,  $W = 8.8 \times 10^4$ , p = 0.014, pmfs-i 511 vs. pmfs-p,  $W = 8.0 \times 10^4$ , p < 0.001). This analysis suggests that the right pmfs-p and left pmfs-i mark 512 513 regions of LPFC with particularly high levels of individual differences in functional connectivity 514 profiles, providing an anatomical substrate for network connectivity differences across 515 individuals.

516

518

# 519 The pmfs-p, pmfs-i, and pmfs-a are functionally dissociable: Meta-analyses across 83 520 experimental task categories

521 We next tested if the dissociation of functional networks between the pmfs-p, pmfs-i, and 522 *pmfs-a* identified in individual participants (Figure 5) can also be observed in meta-analytic 523 analyses of functional activation data at the group-level. That is, do the components of the *pmfs* 524 show a functional dissociation of engagement over a wide array of cognitive operations? To test 525 for different patterns of functional activations across tasks, we generated sulcal probability maps 526 on a template cortical surface (Figure 6a, bottom left). Analogous to probabilistic maps for 527 functional regions (Wang et al., 2015; Weiner et al., 2017; Weiner et al., 2018), the maps provide 528 a vertex-wise measure of anatomical overlap across individuals for all 13 LPFC sulci examined 529 in the present study. As the *pmfs* components disappear on average templates (Figure 1), these 530 probabilistic maps are independent of the sulcal patterning of the template itself, which merely 531 serves as a cortical surface independent of each individual cortical surface. We then compared 532 these sulcal probability maps to 14 probabilistic "cognitive component" maps derived from an 533 author-topic model of meta-analytic activation data across 83 experimental task categories (Yeo 534 et al., 2015).

535

#### [INSERT FIGURE 6 HERE]

The cognitive component model links patterns of brain activity to behavioral tasks via latent components representing putative functional subsystems (Yeo et al., 2015). Each cognitive component map (which was calculated on the same template cortical surface used here) provides the probability that a given voxel will be activated by each of the 14 components (across all 83 540 tasks). We then used an expectation maximization algorithm (via posterior probability, 541 Materials and Methods) to relate brain activity in each sulcal probability map to each cognitive 542 component (Figure 6a, right). Importantly, when calculating the posterior probabilities, we 543 implemented a leave-one-participant-out cross-validation procedure when constructing the sulcal 544 probability maps in order to assess variability in the generated posterior probabilities for each 545 cognitive component (Figure 6b). To indicate feasibility of this approach, the somato-motor 546 components of the cognitive component map (C01, C02) align most highly with the central 547 sulcus as one would expect, which shows the ability of this method to measure structural-548 functional correspondences at the meta-analytic level.

549 This approach further reveals that the *pmfs-p*, *pmfs-i*, and *pmfs-a* are functionally 550 dissociable based on meta-analytic data of cognitive task activations. In the right hemisphere, the 551 *pmfs-p*, *pmfs-i*, and *pmfs-a* showed distinct probabilities for separate cognitive components: 1) 552 the *pmfs-p* loaded onto a default mode component (C11), 2) the *pmfs-i* loaded onto an executive 553 function component (C10), and 3) the *pmfs-a* loaded onto an inhibitory control component 554 (C09). In the left hemisphere, the *pmfs-a* and *pmfs-i* both loaded onto an executive function 555 (C10) component, while the *pmfs-p* loaded onto an emotional processing/episodic memory 556 component (C12). The pmfs was also dissociable in activation profiles from the more anterior 557 *imfs*. In the left hemisphere, the imfs showed no overlap with the *pmfs*, with the *imfs-h* loading 558 onto the inhibitory control component (C09), and the imfs-v loading onto a default mode 559 component (C11). In the right hemisphere, both the *imfs-h and imfs-v* loaded onto the same 560 inhibitory control component (C09) as the pmfs-a.

561 Like our individual participant analyses, there were also hemispheric differences: the 562 cognitive components overlapping the most with the *pmfs-a* and *pmfs-p* differed between the two hemispheres. The *pmfs-p* loaded onto an emotional processing/episodic memory component in the left hemisphere (**Figure 6b**, top row) and a default mode component in the right hemisphere (**Figure 6b**, top row), while the *pmfs-a* loaded onto an executive function component in the left hemisphere (**Figure 6b**, third row) and an inhibitory control component in the right hemisphere (**Figure 6b**, third row).

568 Finally, previous studies have identified retinotopic representations in human LPFC 569 (Hagler and Sereno, 2006; Kastner et al., 2007; Mackey et al., 2017), but the three pmfs 570 components did not overlap with cognitive components associated with visual processing in 571 these meta-analytic analyses. To further examine the relationship between the *pmfs* components 572 and visual processing, we analyzed whether the *pmfs* components explained a significant amount 573 of variance (Figure 7) in a newly published, whole brain dataset of population receptive field 574 measurements in 181 participants (Benson et al., 2018). When considering voxels that demonstrate retinotopic responses ( $\mathbb{R}^2 > 15\%$ ), the highest overlap between predicted *pmfs* 575 location and retinotopic representations was specific to the right hemisphere for the *pmfs-i* (mean 576  $R^2$  across participants = 28.5%), with less overlap in the left hemisphere (all other *pmfs*  $R^2$  values 577 578 < 20%). The most consistent correspondence between visual field maps and sulcal location 579 occurred at (1) the intersection of the sprs and sfs-p, and (2) the intersection of the iprs and ifs, as 580 previously reported ((Mackey et al., 2017); Figure 7). The *iprs* showed the highest retinotopic 581 responses of the LPFC sulci (lh: 34.2%; rh: 48.9%) measured here, and this is also consistent 582 with a recent study identifying a region critical for conditional eye movements within a similar 583 location in the ifs (Germann and Petrides, 2020). Future studies examining the relationship 584 between *pmfs* components and retinotopic representations in individual participants will further 585 expand on these findings.

586

#### [INSERT FIGURE 7 HERE]

#### 587 Extensive individual differences in the location of the pmfs across individuals

588 Although the three *pmfs* components are prominent within each hemisphere, there is 589 extensive individual variability in the precise location of each sulcal component within the 590 posterior MFG. To determine how well the probability maps could predict the location of the 591 *pmfs-p*, *pmfs-i*, and *pmfs-a* within *individual* hemispheres, we used a cross-validated approach, 592 iteratively leaving out one participant from the calculation of probability maps (Figure 8a). 593 Then, the maximum probability maps (MPMs) were projected to the held-out individual's native 594 cortical surface to calculate the overlap between the manually identified and probabilistically 595 identified sulcal locations. This procedure resulted in a measure of location variability for each 596 sulcal component (Figure 8b). For these calculations, we used the *central sulcus* (cs) as a noise 597 ceiling (left:  $cs = 0.85 \pm 0.02$ ; right:  $cs = 0.85 \pm 0.06$ ) as it is a) considered very stable across individuals 598 (see Materials and Methods) and b) used in the cortex-based alignment procedure (Fischl et al., 599 1999b).

600

#### [INSERT FIGURE 8 HERE]

The *pmfs* components exhibited significant variability in sulcal location across participants (left: *pmfs-p* = 0.30 ± 0.28, *pmfs-i* = 0.32 ± 0.18, *pmfs-a* = 0.27 ± 0.20; right: *pmfs-p* = 0.03 ± 0.04, *pmfs-i* = 0.37 ± 0.18, *pmfs-a* = 0.20 ± 0.20). A 2-way repeated-measures ANOVA with *pmfs* sulcal component (*pmfsp*, *pms-i*, *pmfs-a*) and hemisphere (*right*, *left*) revealed a sulcus x hemisphere interaction (*F*(1.84, 64.47) = 9.52, *p* < 0.001,  $\eta_g^2$  = 0.08) driven by the finding that the *pmfs-p* is highly variable across individuals, resulting in very little predictability in the right hemisphere (**Figure 8b**). When using all three *pmfs* components together, prediction is more robust (left: *pmfs* = 0.41 ± 0.13; right: *pmfs* = 0.37 ± 608 0.15), but still much lower than the predictability of the *cs* and also lower than prediction
609 performance for all other LPFC sulci quantified in the present study (Figure 8b). These results
610 demonstrate that although the *pmfs* is prominent within each individual (Extended Data Figure
611 2-1), the location of each *pmfs* component is variable across individuals, which provides
612 empirical support for the historical confusion regarding its identification and labeling (Figure 1).

613

#### 615 Discussion

616 Here, we examined the relationship between cortical anatomy and function in human 617 lateral prefrontal cortex (LPFC) and showed for the first time (to our knowledge) that the 618 posterior middle frontal sulcus (pmfs) serves as a meso-scale link between myelin content and 619 functional connectivity in individual participants. The *pmfs* is a characteristically shallow tertiary 620 sulcus with three components that differ in their myelin content, resting state connectivity 621 profiles, and engagement across meta-analyses of 83 cognitive tasks. We first discuss how these 622 findings suggest modern empirical support for a classic, yet largely unconsidered, anatomical 623 theory (Sanides, 1962, 1964), as well as a recent cognitive neuroscience theory proposing a functional hierarchy in LPFC (Koechlin and Summerfield, 2007; Badre and D'Esposito, 2009; 624 625 Badre and Nee, 2018). We end by discussing a growing need for computational tools that 626 automatically define tertiary sulci throughout cortex.

627 The anatomical-functional coupling in LPFC identified here is quite surprising 628 considering the widespread literature providing little support for fine-grained anatomical-629 functional coupling in this cortical expanse and in association cortices more broadly when 630 conducting traditional group-analyses (Paquola et al., 2019; Vazquez-Rodriguez et al., 2019). 631 Indeed, cortical folding patterns relative to the location of anatomical, functional, or multimodal 632 transitions are considered "imperfectly correlated" (Welker, 1990; Glasser et al., 2016) in 633 association cortices and especially in LPFC (Van Essen et al., 2012; Caspers et al., 2013; 634 Robinson et al., 2014; Coalson et al., 2018). Contrary to these previous findings that did not consider tertiary sulci, the present findings appear to support a classic, yet largely unconsidered 635 636 theory proposed by Sanides (1962, 1964) that tertiary sulci are potentially meaningful anatomical 637 and functional landmarks in association cortices - and in particular, in LPFC. Specifically,

638 Sanides proposed that because tertiary sulci emerge late in gestation and exhibit a protracted 639 postnatal development, they likely serve as functional and architectonic landmarks in human 640 association cortices, which also exhibit a protracted postnatal development. Sanides (1964) 641 further proposed that the late morphological development of tertiary sulci is likely related to 642 protracted cognitive skills associated with LPFC. Interestingly, identifying *pmfs* components in 643 his classic images shows myeloarchitectonic gradations among five areas in LPFC (Figure 9a). 644 Linking these data to recent modern parcellations of the human cerebral cortex (Sallet et al., 645 2013; Glasser et al., 2016) shows that *pmfs* components likely serve as boundaries among a 646 series of cortical areas, which can be addressed in future research in individual participants 647 (Figure 9b).

648

#### [INSERT FIGURE 9 HERE]

649 In addition to supporting Sanides' classic anatomical theory, the present data 650 demonstrated that the three *pmfs* components exhibit different resting-state connectivity profiles 651 along a rostral-caudal axis, which builds on previous work also supporting a functional hierarchy 652 along a rostral-caudal axis of LPFC. Further consistent with this hierarchy, evidence from 653 neuroimaging, lesion, and electrocorticography studies indicate that this proposed rostral-caudal 654 axis of LPFC is also related to levels of temporal and cognitive abstraction. That is, more 655 anterior LPFC cortical regions are more highly engaged in tasks with higher abstract complexity 656 (Koechlin et al., 2003; Koechlin and Summerfield, 2007; Voytek et al., 2015; Mansouri et al., 657 2017). While there is axonal tracing data in non-human primates suggesting an anatomical basis 658 for such a hierarchical organization (Goulas et al., 2014; Goulas et al., 2019), the present 659 findings provide new evidence for anatomically and functionally dissociable sulcal components 660 in LPFC that also support a hierarchical organization within individuals. Future work leveraging finer-scale multimodal and microanatomical data from individual human brains will be critical
for uncovering anatomical and functional properties of LPFC across spatial and temporal scales
that may further support the proposed functional rostral-caudal hierarchy of human LPFC.

Together, the culmination of present and previous findings suggest that tertiary sulci are 664 665 landmarks in human ventral temporal cortex (Nasr et al., 2011; Caspers et al., 2013; Weiner et al., 2014; Lorenz et al., 2017), medial PFC (Amiez et al., 2019; Lopez-Persem et al., 2019), and 666 667 now, LPFC. This begs the question: How many other tertiary sulci serve as cortical landmarks? 668 We stress that it is unlikely that all tertiary sulci will serve as cortical landmarks, since 669 neuroanatomists have known for over a century that not all sulci function as cortical landmarks (Smith, 1907; Bailey and Bonin, 1951; Ono et al., 1990; Welker, 1990; Van Essen et al., 2019). 670 671 Nonetheless, this does not preclude the importance of future studies identifying which tertiary 672 sulci are architectonic, functional, behavioral, or multimodal landmarks - not only in healthy 673 young adults as examined here, but also in developmental (Voorhies et al., 2020) and clinical 674 (Garrison et al., 2015; Brun et al., 2016) cohorts. Additionally, tertiary sulci can also serve as 675 evolutionary markers for primate cortical homology. For example, shallow "dimples" co-occur 676 with the frontal eye field (FEF) in macaques, while deeper sulci co-occur with the proposed homologue of the FEF in humans (Amiez and Petrides, 2009; Schall et al., 2020). Humans may 677 678 also have tertiary sulci in locations that non-human primates do not have dimples as was recently 679 shown in medial PFC (Amiez et al., 2019).

680 Carefully examining the relationship among tertiary sulci and multiple types of 681 anatomical, functional, and behavioral data in individual participants will require new 682 neuroimaging tools to automatically identify tertiary sulci throughout human cortex. For 683 instance, most neuroimaging software packages are only capable of automatically defining ~30684 35 primary and secondary sulci in a given hemisphere (Destrieux et al., 2010). Current estimates 685 approximate ~110 sulci in each hemisphere when considering tertiary sulci (Petrides, 2019). 686 Thus, studies in the immediate future will still require the manual identification of tertiary sulci, 687 which is labor intensive and requires expertise ((Miller et al., 2020a) for a historical discussion 688 regarding the manual labeling of tertiary sulci in LPFC). For example, the present study required 689 manual definitions of 936 sulci in 72 hemispheres. While 72 is a large sample size compared to 690 other labor-intensive anatomical studies in which 20 hemispheres is considered sufficient to 691 encapsulate individual differences (Amunts and Zilles, 2015; Amunts et al., 2020), 2400 692 hemispheres are available just from the HCP alone. Defining tertiary sulci in only the LPFC of 693 every HCP participant would require ~26,400 manual definitions, while defining all tertiary sulci 694 in the entire HCP dataset would require over a quarter of a million (~256,800) manual 695 definitions. Consequently, manual identification of tertiary sulci will continue to limit sample 696 sizes in immediate future studies until new automated methods are generated (Klein et al., 2017; 697 Hao et al., 2020; Lyu et al., 2020).

698 In the interim, we sought to leverage the anatomical labeling in this study to aid the field 699 in the identification of sulcal landmarks in LPFC. The probability maps of sulcal locations in the 700 present study are openly available and may be transformed to held-out individual brains (Figure 701 9). Accordingly, manual identification of these landmarks within individuals is greatly aided, 702 allowing future studies to apply these tools to identify LPFC tertiary in individual participants, 703 including those from various groups such as patient or developmental cohorts. Because smaller 704 tertiary sulci in association cortex are the latest sulcal indentations to develop (Sanides, 1962, 705 1964; Chi et al., 1977; Welker, 1990; Armstrong et al., 1995), their anatomical trajectories and 706 properties likely relate to the development of cognitive abilities associated with the LPFC and 707 other association areas as Sanides hypothesized, which recent ongoing work supports (Voorhies 708 et al., 2020). Moving forward, we hope to leverage the manual labeling performed here to 709 develop better automated algorithms for sulcal labeling within individuals. Future work using 710 deep learning algorithms may help to identify tertiary structures in novel brains without manual 711 labeling or intervention (Borne et al., 2020; Hao et al., 2020; Lyu et al., 2020). Such automated 712 tools have translational applications as tertiary sulci are largely hominoid-specific structures 713 (Amiez et al., 2019; Miller et al., 2020b) located in association cortices associated with 714 pathology in many neurological disorders. Thus, morphological features of these under-studied 715 neuroanatomical structures may be useful clinical biomarkers for future diagnostic purposes. To 716 begin to achieve this goal and to aid the field, we share our probabilistic maps of LPFC tertiary 717 sulci with the publication of this paper.

718

#### 721 Data availability

Data were provided by the Human Connectome Project, WU-Minn Consortium (Principal Investigators: David Van Essen and Kamil Ugurbil; 1U54MH091657) funded by the 16 NIH Institutes and Centers that support the NIH Blueprint for Neuroscience Research; and by the McDonnell Center for Systems Neuroscience at Washington University. The HCP dataset and processing are described in previous publications (Glasser et al., 2013; Glasser et al., 2016). The probability maps for LPFC sulcal definitions and analysis code will be freely available with the publication of the paper on Open Science Framework (OSF).

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#### 731 Author Contributions

732 Manual anatomical labeling: J.A.M., W.V., K.S.W. Data analysis and interpretation of the data:

733 J.A.M., D.J.L., K.S.W. Drafting paper: J.A.M, K.S.W. Revising paper: J.A.M, W.V., D.J.L.,

734 M.D., K.S.W. Supervision and study conceptualization: J.A.M., M.D, K.S.W.

735

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### **Figure Legends**

988 Figure 1. A synopsis of ambiguity regarding sulcal definitions in the human posterior middle 989 frontal gyrus over the last 130 years. Classic and modern schematics of the sulcal patterning in human 990 lateral prefrontal cortex (LPFC). (a) Sulci in the middle frontal gyrus are labeled in yellow on classic and 991 modern schematics of human LPFC. Historically, anatomists had previously either (1) not labeled the 992 sulci within the location of the modern *pmfs* (first two images; arrow indicates depicted, but unlabeled 993 sulcal components) (Eberstaller, 1890; Connolly, 1950) or 2) included these sulci in the definition of the 994 posterior portion of the frontomarginal sulcus (third image; (Rajkowska and Goldman-Rakic, 1995)). The 995 most recent schematic (fourth image, adapted from Petrides, 2019) proposes that the *pmfs* is separate 996 from the intermediate frontal sulcus (*imfs-h and imfs-v*, synonymous with the *frontomarginal sulcus*) and 997 consists of three distinct components: posterior (*pmfs-p*), intermediate (*pmfs-i*), and anterior (*pmfs-a*). (b) 998 Three individually labeled left hemispheres with the *pmfs* outlined in white. The *pmfs* is prominent within 999 individual participants (Extended Data Figure 2-1 for all participants). The superior and inferior frontal 1000 sulci (sfs, ifs) are labeled for reference above and below the middle frontal gyrus, respectively. (c) 1001 Average cortical surfaces show much smaller *pmfs* components compared to individual participants. As 1002 more participants are averaged together into templates, the *pmfs* disappears almost entirely, which is 1003 inconsistent with their prominence in individual hemispheres.

1004 Figure 2. LPFC tertiary sulci are easily identifiable and characteristically shallow. (a) Left: an 1005 example inflated cortical surface of an individual left hemisphere in which the sulci examined in the 1006 present study are outlined and labeled (Extended Data Figure 2-1 for all participants). Sulci are dark 1007 gray, while gyri are light gray. Right: Two post-mortem hemispheres (Retzius, 1896) and three 1008 histological sections (note that the pmfs components are referred to as "intermediate frontal sulcus" in the 1009 Allen Human Brain Atlas: https://atlas.brain-map.org/; (Ding et al., 2016)) showing that the *pmfs* sulci are 1010 also identifiable in post-mortem tissue samples. (b) Top: Surface area for each sulcus (ordered posterior 1011 to anterior) is plotted for each individual participant (gray circles), as well as the mean (colored bars) and 1012 95% confidence interval (black line). Acronyms used for each LPFC sulcus are also included. Darker 1013 shades indicate right hemisphere values, while lighter shades indicate left hemisphere values. The three 1014 pmfs sulci have the smallest surface area of all LPFC sulci measured in the present study. Bottom: Same 1015 layout as above, but for sulcal depth (mm). The three *pmfs* sulci are the shallowest of the LPFC sulci 1016 measured here.

#### 1018 **Table 1.**

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1019 Most common intersections of the *pmfs* components (morphological types).

#### 1021 Table 2.

1022 Surface area and depth of the three *pmfs* components.

1024 Figure 3. The pmfs sulci are anatomically differentiable based on myelin content. (a) Top: Schematic 1025 of the calculation of geodesic distance along the cortical surface. For each sulcus, the average distance of 1026 each vertex from the central sulcus was calculated (dotted black line; Materials and Methods). Bottom: 1027 an example  $T_1w/T_2w$  map in an individual participant in which 5-95% percentile of values are depicted. 1028 (b)  $T_1w/T_2w$  values (a proxy for myelin content) are plotted for each component of the *pmfs* for each 1029 individual participant (N = 36). Bars represent mean  $\pm$  95% CI, while each participant is depicted as a 1030 circle. Darker shades indicate right hemisphere values, while lighter shades indicate left hemisphere 1031 values. The components of the *pmfs* are differentiable based on myelin content, with a decrease from 1032 posterior to anterior across both hemispheres. (c) Scatterplot showing the negative relationship between 1033 distance from the central sulcus and the mean myelination value for all labeled sulci from each individual

1034 (N = 36 participants). The mixed linear model (**Materials and Methods**) with predictors of distance and 1035 hemisphere shows a marginal  $r^2$  of 60.8%. Scatterplot is bootstrapped at 68% CI for visualization. (**d**) 1036 Scatterplot showing the mean  $T_1w/T_2w$  value for each sulcus as a function of distance (mm) from the 1037 central sulcus. Error bars for both the x- and y-axes represent S.E.M. (68% CI) across individuals (N = 36 1038 participants). *Dark purple*: right hemisphere; *Light purple*: left hemisphere.

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1040 Figure 4. The *pmfs* sulci and middle frontal gyrus have differentiable myelin profiles across cortical 1041 **depths.** (a) Left: Tissue contrast enhancement  $(T_1w/T_2w \text{ metric}, a \text{ proxy for myelin})$  at nine cortical 1042 depths, sampled from the outer gray matter (pial) to the gray/white matter boundary (white matter) using 1043 equivolume surfaces (Materials and Methods). The MFG (excluding the pmfs) has higher myelin 1044 content than all *pmfs* components in the upper cortical layers, while the *pmfs* components have higher myelin content in deeper layers. Shaded area represents bootstrapped 68% CI across participants. Green 1045 1046 asterisks show significant statistical differences between the MFG and all *pmfs* components (MFG >1047 *pmfs*), while purple asterisks show the reverse (*pmfs* > MFG; all tests FWE-corrected at p < 0.05/9). 1048 Right: Myelinated fiber density (y-axis) profile across cortical depths (x-axis) in post-mortem histological 1049 sections of the MFG, adapted from Braitenberg (1962). B: stria of Baillarger. G: stria of Gennari. Similar 1050 to our measurements, myelination increases from outer to inner layers within the MFG. (b) Left: 1051 Individual left hemisphere with the manually defined *pmfs* components (white) and the surrounding MFG 1052 (green) as defined by FreeSurfer (Destrieux et al., 2010). We excluded the *pmfs* components from the 1053 MFG to test for anatomically distinct profiles. Middle: Example equivolume surfaces at five different 1054 cortical depths, from the *pial* to *white matter* surfaces, which were used to sample the  $T_1w/T_2w$  metric 1055 across depths. Right: Myelination stain of a post-mortem histological section of the MFG from 1056 Braitenberg (1962). Arrow: Location from which the myelinated fiber density profile in (a, right) was 1057 calculated.

1058 Figure 5. The *pmfs* components are functionally differentiable based on connectivity fingerprints 1059 within individuals. (a) Schematic of how individual-level resting state connectivity profiles were 1060 generated in each participant. Resting-state network parcellations for each participant were obtained from 1061 a recent study (Kong et al., 2018) in an observer-independent fashion of sulcal definitions in LPFC. 1062 Example individual cortical topographies are shown in four individual participants, colored according to 1063 the group parcellation. The individual cortical topographies and *pmfs* sulcal definitions were used to 1064 calculate the connectivity fingerprint, which represents the overlap of each network within the pmfs component of each participant. (b) Polar plots showing the mean connectivity fingerprint of the three 1065 1066 pmfs components (plotted outwards) with each of 17 resting-state functional connectivity networks, 1067 across participants. Resting-state networks with the highest overlap across participants are labeled. (c) 1068 Left: Polar plots showing variability among 6 individual participants. Right: Dissimilarity of the resting-1069 state network fingerprints (variability in the connectivity fingerprint across participants represented by the 1070 Wasserstein distance between unique pairs of participants; Materials and Methods) are plotted as a 1071 function of each pmfs component for left and right hemispheres. Error bars represent 68% CI (SEM) 1072 across unique participant pairs.

1073 Figure 6. The *pmfs* and *imfs* components are functionally differentiable based on cognitive 1074 components: A meta-analysis of fMRI experimental tasks. (a) Schematic of analyses linking sulcal 1075 probability maps (bottom, left) and cognitive component maps (right) from a meta-analysis of fMRI 1076 experimental tasks (Yeo et al., 2015) using an expectation maximization algorithm (Materials and 1077 Methods). For each *pmfs* component, the algorithm provides a posterior probability for each of 14 1078 cognitive components being associated with the provided sulcal probability map. (b) For each pmfs and 1079 imfs component in each hemisphere, the posterior probability for each cognitive component is plotted. 1080 This approach further supports that the *pmfs-p* (Component 12, lh; Component 11, rh), *pmfs-i* 1081 (Component 10, lh and rh), and pmfs-a (Component 10, lh; Component 9, rh; Materials and Methods) 1082are functionally dissociable based on meta-analytic data of cognitive task activations. The *imfs-h* and1083imfs-v are also dissociable from the *pmfs* components in the left hemisphere, and functionally similar to1084the *pmfs-a* in the right hemisphere. Gray dots indicate individual participant data points when the analysis1085is performed with individual labels transformed to a template cortical surface, rather than with probability1086maps (Materials and Methods).

1087 Figure 7. Comparing the overlap between retinotopic responses relative to the predicted location of 1088 the *pmfs* sulcal components. Map of the mean  $(n = 181) R^2$  metric (colorbar) from the HCP retinotopy 1089 dataset (Benson et al., 2018) on the *fsaverage* template cortical surface for each hemisphere, thresholded 1090 at 15%. This metric measures how well the fMRI time-series at each vertex is modeled by population 1091 receptive field (pRF) modeling that was calculated and shared by Benson and colleagues 1092 (https://osf.io/bw9ec/wiki/home/). Predicted pmfs location from the maximum probability maps is 1093 overlaid in orange (thresholded at 33% overlap across participants). There was only a modest overlap 1094 between predicted *pmfs* location and retinotopic representations (a) in the right hemisphere (no overlap in 1095 the left hemisphere). Instead, and consistent with prior work (Mackey et al., 2017), the highest 1096 correspondence between retinotopic responses and sulcal patterning in LPFC occurs at two sulcal 1097 intersections: 1) the sprs and sfs-p (c), and (2) the iprs and ifs (b).

1098 Figure 8. Quantification and prediction of pmfs-p, pmfs-i, and pmfs-a within individual 1099 hemispheres. (a) Procedure to generate sulcal probability maps based on the manual anatomical labeling 1100 within each individual participant. Labels from each individual are transformed to a template cortical 1101 surface to form a probabilistic sulcal map and then projected onto the surface of a held-out individual 1102 participant. The overlap between the manual anatomical label on the held-out participant and predicted 1103 location was then calculated for each iteration across participants. (b) Overlap (DICE coefficient) 1104 between predicted and manual location of each *pmfs* component within individual participants. Prediction 1105 for the *pmfs* is highest when all three components are combined. The central sulcus (*cs*) is included as a 1106 noise ceiling for reference, as this landmark is used in the surface registration algorithm that aligns 1107 cortical surfaces across participants.

1108 Figure 9. Linking the past to the present: Myelination gradients, cortical areas, and the *pmfs*. (a) 1109 Left: Photograph of a left hemisphere from Sanides (1962). Numbers indicate cortical areas differing in 1110 myeloarchitecture. Dotted white lines: Sulcal boundaries as defined by Sanides. Dotted colored lines: pmfs-p (green), pmfs-i (red), and pmfs-a (blue) based on modern definitions used in the present study. 1111 1112 Identifying *pmfs* components in Sanides' classic images shows that he identified myeloarchitectonic 1113 gradations within *pmfs* components, which is consistent with the present measurements. Gradations 1114 occurred in superior-inferior, as well as anterior-posterior dimensions. In the inferior portion of the *pmfs-p* 1115 (green), there is an anterior-posterior transition between areas 40 and 55. In the *pmfs-i* (red), there are two 1116 transitions: (i) a superior-inferior transition between areas 44 and a transition zone to area 55, and (ii) an 1117 anterior-posterior transition between areas 44 and 45. In the *pmfs-a*, there is a transition between areas 45 1118 and 54. Right: Myelination stain of a histological section (coronal orientation) from Sanides (1962). 1119 Arrows indicate boundaries between labeled myeloarchitectonic areas (numbers). pmfs-a is labeled to 1120 help the reader link the myelination stain to the image at left. The reader can appreciate the shallowness 1121 of the *pmfs-a* relative to the sulcus (*ifs*) between areas 54 and 58, which is also consistent with our 1122 measurements (Figure 2). (b) Left: Maximum probability maps (thresholded at 33% overlap across 1123 participants) for the pmfs-p, pmfs-i, and pmfs-a are shown on the FreeSurfer average template (left 1124 hemisphere). The probability maps are shown relative to four areas from a multi-modal cortical 1125 parcellation based on structural and functional MRI data (Glasser et al., 2016). The pmfs-a appears to 1126 denote the dorsal to ventral transition between areas 46 and p9/46v in anterior LPFC, while the pmfs-p 1127 appears to denote the dorsal to ventral transition between areas 8Av and 8C in posterior LPFC. Right: 1128 pmfs and imfs maximum probability maps relative to a resting-state fMRI parcellation with proposed 1129 homologous parcels between monkey and human LPFC from Sallet et al., 2013. Here, the pmfs-i and

pmfs-a denote the 9/46d and 9/46v boundary, while the *imfs* is situated within area 46. This relationship is 1131 also consistent with a recent cytoarchitectonic atlas showing that the *pmfs-a* identifies a transition 1132 between 9/46v and 9/46d (Petrides, 2019).

### **Extended Data Figure Legends**

Extended Data Figure 2-1. Individual labeling of the *pmfs* in all participants.

As in Figure 1, the three components of the posterior middle frontal sulcus (*pmfs*) are outlined in white on the individual inflated cortical surface of each participant. For reference, the large superior (*sfs*) and inferior (*ifs*) frontal sulci are also outlined, in blue, along with the horizontal (*imfs-h*) and vertical (*imfs-v*) intermediate frontal sulci, in green.

**Extended Data Figure 5-1. Individual resting-state network connectivity profiles for the** *pmfs* components. The individual connectivity profiles and *pmfs* sulcal definitions were used to calculate the connectivity fingerprint, which represents the overlap of each network within the *pmfs* component of each participant. Polar plots showing the connectivity fingerprint of the three *pmfs* components (plotted outwards) with each of 17 resting-state functional connectivity networks (Kong et al., 2018) for each individual participant (numbered) for the left hemisphere.



**b** identification of the middle frontal sulci (*pmfs*) within individuals



c pmfs components are often absent from average cortical surfaces



#### sulcal labels а

sfs-a

imfs-h

imfs-v

intermediate frontal, horizontal

intermediate

frontal, vertical

10

5

0

CS

iprs

sprs

ifs



int.

ant.

sfs-p sfs-a imfs-h imfs-v

post.

most common intersections	$1^{st}$	2 <sup>nd</sup>	3 <sup>rd</sup>
pmfs-p	independent	pmfs-i	iprs
lh	44.4%	22.2%	16.7%
	independent	sfs-a	pmfs-i
rh	30.6%	30.6%	16.7%
pmfs-i	independent	pmfs-p	pmfs-a
lh	47.2%	22.2%	16.7%
	pmfs-a	independent	pmfs-p
rh	58.3%	27.8%	19.4%
pmfs-a	independent	imfs-h	pmfs-i
lh	47.2%	38.9%	16.7%
	imfs-h	pmfs-i	Independent
rh	52.8%	50.0%	13.9%

	surface area (mm <sup>2</sup> )	depth (mm)
pmfs-a	mean $\pm$ s.d.	mean $\pm$ s.d.
lh	$341.9 \pm 154.8$	$11.1 \pm 4.4$
rh pmfs-i	$315.4 \pm 149.7$	$13.4\pm3.7$
lh	339.3 ± 191.7	$10.9 \pm 4.2$
rh pmfs-p	337.8 ± 124.2	$12.8\pm3.8$
lh	$353.6 \pm 164.1$	$11.2 \pm 3.8$
rh	$301.7 \pm 133.2$	$12.1 \pm 3.9$







group network parcellation

**b** pmfs connectivity fingerprints (mean across participants)



## a generating meta-analytic sulcal-functional mappings

L'





# a quantifying sulcal location with probability maps

