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# Neural representations of covert attention across saccades: comparing pattern similarity to shifting and holding attention during fixation

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2 Neural representations of covert attention across saccades: comparing pattern similarity to

3 shifting and holding attention during fixation

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6 Neural patterns of covert attention across saccades

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- 13 XZ and JDG developed the idea;
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# 32 Abstract

33	We can focus visuospatial attention by covertly attending to relevant locations, moving our eyes,
34	or both simultaneously. How does shifting versus holding covert attention during fixation
35	compare with maintaining covert attention across saccades? We acquired human fMRI data
36	during a combined saccade and covert attention task. On Eyes-fixed trials, participants either
37	held attention at the same initial location ("hold attention") or shifted attention to another
38	location midway through the trial ("shift attention"). On Eyes-move trials, participants made a
39	saccade midway through the trial, while maintaining attention in one of two reference frames:
40	The "retinotopic attention" condition involved holding attention at a fixation-relative location but
41	shifting to a different screen-centered location, whereas the "spatiotopic attention" condition
42	involved holding attention on the same screen-centered location but shifting relative to fixation.
43	We localized the brain network sensitive to attention shifts (shift > hold attention), and used
44	multivoxel pattern time-course analyses to investigate the patterns of brain activity for
45	spatiotopic and retinotopic attention across saccades. In the attention shift network, we found
46	transient information about both whether covert shifts were made and whether saccades were
47	executed. Moreover, in this network, both retinotopic and spatiotopic conditions were
48	represented more similarly to shifting than to holding covert attention. An exploratory
49	searchlight analysis revealed additional regions where spatiotopic was relatively more similar to
50	shifting and retinotopic more to holding. Thus, maintaining retinotopic and spatiotopic attention
51	across saccades may involve different types of updating that vary in similarity to covert attention
52	"hold" and "shift" signals across different regions.
50	

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54 Keywords: covert attention shifts, saccades, representational similarity, reference frames, fMRI

# 55 Significance Statement:

56	To our knowledge, this study is the first attempt to directly compare human brain activity
57	patterns of covert attention (to a peripheral spatial location) across saccades and during fixation.
58	We applied fMRI multivoxel pattern time course analyses to capture the dynamic changes of
59	activity patterns, with specific focus on the critical timepoints related to attention shifts and
60	saccades. Our findings indicate that both retinotopic and spatiotopic attention across saccades
61	produce patterns of activation similar to "shifting" attention in the brain, even though both tasks
62	could be interpreted as "holding" attention by the participant. The results offer a novel
63	perspective to understand how the brain processes and updates spatial information under
64	different circumstances to fit the needs of various cognitive tasks.

### 66 Introduction

We live in a world with an abundance of visual information, but we have limited visual acuity and cognitive resources. To process visual information across various locations with high sensitivity as needed by daily tasks, we can perform functions like shifting attention allocation covertly or making eye movements. In daily life, covert attention shifts and saccades are often directed to the same to-be-attended location. But we can also covertly attend one location while saccading elsewhere, and the neural mechanisms underlying this case are considerably less explored.

When the eyes are at a stable fixation, covert shifts of attention are often associated with activation in the frontoparietal network (Chica et al., 2013). Specifically, medial superior parietal lobule is activated when covert attention is shifted spatially (Gmeindl et al., 2016; Yantis et al., 2002), between space and feature dimensions (Greenberg et al., 2010), between visual and auditory modalities (Shomstein & Yantis, 2004), and between spatial and nonspatial modalities (Shomstein & Yantis, 2006), suggesting the presence of a general mechanism that mediates shifts of attention.

A number of studies comparing covert attention shifts with overt attention shifts 81 82 (saccades) further show that these two functions share overlapping brain areas, including 83 intraparietal sulcus (IPS), superior parietal lobule (SPL), and frontal regions like pre-central 84 sulcus/gyrus (Beauchamp et al., 2001; Corbetta et al., 1998; de Haan et al., 2008; Perry & Zeki, 85 2000). In these neuroimaging studies, a common paradigm is for participants to either shift 86 attention (covert shifts) or make a saccade (overt shifts) between the current fixation point and a 87 target location, with the brain activation in these conditions each contrasted with a baseline 88 condition where no shift happened.

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89	These neuroimaging studies, together with behavioral evidence, suggest a tight coupling
90	between covert spatial attention and eye movements. Covert attentional orientation is an
91	important step preceding saccade execution (Kowler et al., 1995; Peterson et al., 2004). The
92	premotor theory of attention even claims that covert attention simply reflects the central
93	programming of eye movements, just without actual saccade execution (Rizzolatti, Riggio,
94	Dascola, & Umiltá, 1987). However, this theory remains controversial, especially regarding
95	independence between endogenous attention and motor preparation (Smith & Schenk, 2012), and
96	covert spatial attention and saccade target locations can be dissociated in several paradigms, such
97	as anti-saccade tasks (Juan et al., 2004; Smith & Schenk, 2007) and attention in different
98	reference frames, as below.
99	When attention is allocated to a separate location from the saccade target, the eye
100	movement introduces a discrepancy between retinotopic (eye-centered) and non-retinotopic (e.g.
101	spatiotopic / world-centered) reference frames. Although the spatiotopic reference frame feels
102	more relevant for most behaviors, visual processing starts on our retina in retinotopic coordinates.
103	Behavioral and neural evidence shows that we can allocate attention in both retinotopic and
104	spatiotopic reference frames, though it is debated which is more dominant and whether they
105	differ by brain region (Crespi et al., 2011; Fabius et al., 2016; Fairhall et al., 2017; Golomb et al.,
106	2008; Golomb & Kanwisher, 2012a, 2012b; Melcher & Morrone, 2003; Satel et al., 2012;
107	Shafer-Skelton & Golomb, 2017; Turi & Burr, 2012; Zimmermann et al., 2013).
108	This ambiguity raises important questions about how our brain processes covert attention
109	across saccades. For example, maintaining covert attention at a stable peripheral real-world
110	location across a saccade (i.e., spatiotopic attention) would be akin to holding attention in

111 spatiotopic coordinates, but shifting attention in retinotopic coordinates. Here, we take a novel

112	approach to understanding the relationship between covert attention and saccades by comparing
113	the neural patterns associated with retinotopic and spatiotopic attention across saccades to
114	holding or shifting covert attention during fixation. We hypothesized that activation patterns
115	should reveal whether maintaining retinotopic or spatiotopic attention is represented more like a
116	stable hold of attention, in functionally relevant regions such as the attention shift network.
117	We acquired fMRI data during a combined saccade and covert attention task, with four
118	critical conditions. On Eyes-fixed trials, participants either held attention at the same initial
119	peripheral location ("hold attention") or shifted attention to a different location midway through
120	the trial ("shift attention"). On Eyes-move trials, participants made a saccade midway through
121	the trial half of the time, while covertly maintaining either "spatiotopic attention" (hold relative-
122	to-screen, shift relative-to-eyes) or "retinotopic attention" (hold relative-to-eyes, shift relative-to-
123	screen). We used multivoxel pattern time course (MVPTC) analyses to compare whether patterns
124	of brain activity for spatiotopic and retinotopic conditions were more similar to shifting or to
125	holding attention, both in our a priori ROIs, as well as through an exploratory whole-brain
126	searchlight analysis.

# 128 Methods

*Participants.* 12 right-handed subjects participated in the study (7 females, 5 males, mean age
19.08, range 18-25). An additional left-handed subject was also scanned inadvertently, but the
data were not included in our analyses. All subjects reported normal or corrected-to-normal
vision. They were pre-screened for MRI eligibility, and they gave informed consent. The study
protocol was approved by the Ohio State University Biomedical Sciences Institutional Review
Board.

*Stimuli and task.* The paradigm is shown in Figure 1. Eyes-fixed and Eyes-move tasks were donein separate runs.

138 In the Eyes-fixed task (Figure 1A), subjects fixated their eyes at the fixation cross at the 139 screen center. A letter cue appeared above and below the fixation to indicate the location to be 140 covertly attended (L for left of fixation, R for right of fixation). The stimuli were rapid serial 141 visual presentation (RSVP) streams of random digits (each frame of digits presented for 250ms 142 without gap). Two columns of RSVP streams were located 2.5° to the left and right of the 143 fixation cross, respectively. In each column, the middle stream was the target stream and the 144 upper and lower streams were the flanker streams. Subjects were instructed to attend to the cued 145 side and press the button when they saw a target (the number "5") in the target stream. 146 Each trial lasted 8 seconds. The fixation and letter cue alone were presented for 0.75s 147 before the onset of the RSVP streams. On half of the trials, the letter cue changed (e.g., from L to 148 R) midway through the trial (always 3.25s after the onset of the RSVP streams), cueing 149 participants to shift their covert attention to the other side and monitor for the target digit on the 150 new side. Each trial can thus be thought of as containing two task periods, each lasting for 3.25s, 151 separated by a 0.75s gap for the potential shift. (The RSVP streams continued during this 152 potential shift period, but the target number "5" was inhibited.) The task was programmed so that 153 the onset of the first task period was always synced with the scanner pulse (time 0 for each trial). 154 The attended locations of the two periods could either be the same ("Hold-L" and "Hold-R" 155 conditions) or different ("shift-LR" and "shift-RL" conditions), as shown in Figure 2. The four 156 trial types were randomly intermixed in each Eyes-fixed run so that participants could not predict 157 the conditions before each trial.

The RSVP streams were composed of digits; the digit "5" was reserved as the target; other digits were presented randomly in a trial. In the RSVP, for every frame of 250 ms, there was a 1/3 chance that the target "5" would appear on the screen in one of middle (target) streams (when it appeared, it was randomly assigned to one of the target streams, and "5" never appeared in the flanker streams). The target presentation was temporally restricted so that two targets could not appear sequentially within 1s, no matter whether it appeared in the cued or uncued stream.

165 Stimuli in the Eyes-move task were similar, except that instead of fixating at the screen 166 center, the fixation cross could appear at one of two potential fixation locations at the start of 167 each trial, 2.5° to the left and right of the screen center, and there were three columns of RSVP streams, located at the far left, center, and far right of the screen, each centered  $2.5^{\circ}$  away from 168 169 the nearest fixation location (Figure 1B). On half of the trials, the fixation cross remained in the 170 same position for the entire trial (no-saccade trials); on the other half of trials, the fixation cross 171 jumped to the other fixation location halfway through the trial (saccade trials). Subjects were 172 instructed to fixate their eyes on the fixation cross and saccade to the new location whenever it 173 moved.

Each Eyes-move run was subdivided into 4 mini-blocks (8 trials each). Two of these blocks contained the spatiotopic reference frame condition, where subjects were instructed to attend to the central RSVP stream regardless of where their eyes were. This condition was cued at the beginning of the mini-block as "attend screen center", and the letter cue "C" appeared above and below the current fixation to remind subjects of the attended location. The other two mini-blocks contained the retinotopic reference frame conditions, where subjects were instructed to attend to an RSVP stream defined relative to fixation, i.e., "left of the cross" or "right of the

181 cross". These conditions were cued as such at the beginning of the mini-block, and with the
182 letters "L" and "R", respectively, during the trial. The order of these four mini-blocks was
183 randomized in each run. Participants always knew which reference frame condition they were in,
184 but they could not predict either the initial fixation location or whether they would have to make
185 a saccade or not on each trial.

186 Each trial in the Eyes-move task also lasted 8 seconds. As in the Eyes-fixed task, the 187 scanner pulse was always synced with the onset of the first task period (time 0); the rest of the 188 trial was designed so that the time-course data would be as comparable as possible between 189 Eves-fixed and Eyes-move tasks. The initial fixation and letter cue alone appeared 1s before the 190 start of the trial (onset of the RSVP streams). The first task period lasted 3s and the second 2.5s, 191 separated by a 1s gap for a potential saccade. (The RSVP streams continued during this potential 192 saccade period, but the target number "5" was inhibited.) There were another 0.5s of blank gap 193 after the second task period before the next trial began.

194 A summary of all conditions in the Eyes-move task is listed in Figure 2. The conditions 195 were coded based on reference frame, attended location, and fixation location or saccade 196 direction. For example, in spatiotopic blocks, no-saccade trials were coded as SpaC-Rfix 197 (spatiotopic reference frame, attend center stream, fixation on the right cross) and SpaC-Lfix, and saccade trials were coded as SpaC-RLsac (spatiotopic reference frame, attend center stream, 198 199 saccade from right to left cross) and SpaC-LRsac. In retinotopic blocks, no-saccade trials were 200 coded as RetL-Rfix (retinotopic reference frame, attend stream left of fixation, fixation on the 201 right cross), RetL-Lfix, RetR-Rfix, and RetR-Lfix; however, although our design included both 202 left and right fixation location trials, we aggregated them into RetL-fix and RetR-fix to simplify 203 our analyses. This is because the aggregated conditions did not involve a visual field difference,

204	and any effect coming from pure fixation location difference is beyond the main scope of this
205	study. Retinotopic saccade trials were coded as RetL-RLsac (retinotopic reference frame, attend
206	stream left of fixation, saccade from right to left cross), RetL-LRsac, RetR-RL-sac, and RetR-
207	LRsac. These conditions are all illustrated in Figure 2. In sum, our main MVPA analyses
208	included a total of 10 task conditions. (We also conducted a descriptive univariate analysis with
209	different numbers of conditions; see Results section for details.)
210	In both Eyes-fixed runs and Eyes-move runs, trial onset times were jittered, with inter-
211	trial intervals (ITIs) of 0s, 2s, and 4s (50%, 35%, and 15% of trials, respectively), in a fast-event
212	related fashion. An additional mini-block (16s) of blank baseline was put in the beginning,
213	middle and end of each run, respectively, where participants were instructed to keep fixated at
214	the fixation cross. Participants completed 4 runs of Eyes-fixed task and 8 runs of Eyes-move task
215	In addition, they also completed 2 to 4 runs of the standard retinotopic mapping task (see details
216	in the ROI section below).
217	All stimuli were generated with the Psychtoolbox (Brainard, 1997) in Matlab
218	(MathWorks). Stimuli were displayed with a 3-chips DLP projector onto a screen in the rear of
219	the scanner (resolution 1280×1024 at 60Hz). Participants viewed from a distance of 74cm via a
220	mirror above attached to the head coil.
221	
222	Eye Tracking. Eye positions were recorded throughout the experiment when the calibration was
223	reliable, using an MRI-compatible Eyelink remote eye-tracker at 500 Hz. Eye position data were
224	used to ensure the participants kept their eyes on the fixation point and made eye movements
225	following the fixation change. When eye position data were not available, the experimenters

226 observed the subjects' eye through the camera and made sure that the participants were making

229	fMRI acquisition. This study was done at the OSU Center for Cognitive and Behavioral Brain
230	Imaging with a Siemens Prisma 3T MRI scanner using a 32-channel phase array receiver head
231	coil. Functional data were acquired using a T2-weighted gradient-echo sequence (TR=2000ms,
232	TE=28ms, flip angle 71°). The slice coverage was oriented about 45° away from the AC-PC
233	plane and placed to prioritize full coverage of occipital and parietal lobes, and then maximize
234	coverage of temporal and frontal lobes (33 slices, 2×2×2mm voxel, 10% gap). We also collected
235	a high-resolution MPRAGE anatomical scan at 1mm <sup>3</sup> resolution for each participant. Each
236	participant was scanned in one two-hour session.
237	
238	fMRI preprocessing. The fMRI data were preprocessed with Brain Voyager QX (Brain
239	Innovation). All functional data were corrected for slice acquisition time and head motion,
240	temporally filtered. Runs with abrupt motion greater than 1mm were discarded from later
241	analyses, and the motion correction parameters were logged and input as nuisance variables into
242	the GLM. Spatial smoothing of 4mm FWHM was performed on the preprocessed data for
243	univariate analyses, but not for multivoxel pattern analysis (MVPA). Data of each participant
244	were normalized into Talairach space (Talairach & Tournoux, 1988). We used FreeSurfer to
245	segment the white matter / gray matter boundaries from each participant's anatomical scan, and
246	imported the images into BrainVoyager for flattening. We extracted each participant's cortical
247	surface for each hemisphere in Talairach space, and inflated and flattened them into cortical
248	surface space for retinotopic mapping. Other analyses were performed on volume space only.

*Regions of Interest.* Our analyses focused on two a priori regions of interest (ROIs). These ROIs
were our theoretical regions of interest designed to look at attentional representations: bilateral
area V4 (considered strongly modulated by attention: <u>McAdams & Maunsell, 2000</u>), and a
functionally defined attention shift network (e.g., <u>Yantis et al., 2002</u>).

254 The attention shift network was functionally defined based on the group-level shift > hold 255 univariate attention contrast in the Eyes-fixed task. For this contrast, we used a whole-brain 256 multi-subject general linear model (GLM) in the Eyes-fixed task with 5 regressors (blank 257 baseline plus the 4 Eyes-fixed conditions) and 6 nuisance regressors from the motion correction 258 processing, with a canonical hemodynamic response function, to calculate beta weights of each 259 condition for each voxel. We then projected the contrasts of shift conditions vs hold conditions 260 onto volume maps. All volume maps were corrected for cluster threshold at  $\alpha$ =0.05 level, using 261 the BrainVoyager plugin "Cluster-level Statistical Threshold Estimator", after which all 262 significant voxel clusters were picked as the corresponding functional network. The attention 263 shift network is shown in Figure 3 and Table 1. The attention shift network includes inferior 264 parietal lobule (IPL) and temporal gyri, consistent with areas previously found in the literature 265 (Beauchamp et al., 2001; Corbetta et al., 1998; de Haan et al., 2008; Yantis et al., 2002). Due to 266 limited frontal coverage in our scanning protocol, our data only captured more posterior regions. 267 We used a standard phase-encoded retinotopic mapping localizer (Sereno et al., 1995) to 268 define visual area V4 for each participant. In the retinotopic mapping scans, a rotating wedge 269 with high-contrast radial checkerboard patterns was presented on the screen and flickered at 4 Hz. 270 The  $60^{\circ}$  wedge stimulus covered eccentricity from  $1.6^{\circ}$  to  $16^{\circ}$  and was rotated either clockwise 271 or counter-clockwise for 7 cycles with a period of 24 s per cycle. Participants were instructed to 272 fixate at the center fixation of the screen, and press the button every time when the fixation dot

273	changed color from dark grey to light grey. A pair of clockwise and counterclockwise runs were
274	combined in the analyses. One or two pairs of runs (i.e., 2 to 4 runs) were obtained for each
275	participant. After preprocessing, the brain data were analyzed in custom Matlab code and
276	projected onto the flattened brains as surface maps in Brain Voyager. Bilateral V4 boundaries
277	were defined based on these surface maps. We then used the task > baseline contrast from the
278	Eyes-fixed runs to further constrain the retinotopic ROIs to regions visually activated by this task.
279	In addition to these a priori regions of interest, we also defined a post-hoc network for
280	exploratory analyses, the "retinotopic-hold" network, based on the cross-task similarity
281	searchlight results (see details below), corrected for cluster threshold in the same way as above.
282	ROI results for this post-hoc network are presented for descriptive purposes only, as the datasets
283	used to define and analyze were not fully independent.
284	Finally, in the extended data (Table 2-1 and 3-1), we also report results from two
285	additional, comparison ROIs to capture generic visual activation (bilateral area V1) and
286	deactivation (functionally-defined task negative network). Area V1 was defined using the same
287	retinotopic mapping procedure as V4, and the task-negative network was defined based on the
288	group-level baseline > task contrast in the Eyes-fixed task, where task included all 4 Eyes-fixed
289	task conditions.
290	
291	Multivoxel pattern analyses (MVPA). For all MVPA analyses below, we imported corresponding
292	GLM data to Matlab with BrainVoyager's BVQXtools Matlab toolbox, and all subsequent
293	analyses were done using custom Matlab code.
294	1) Within-task MVPA (split-half correlation-based analyses)

295 We first performed MVPA within the Eyes-Fixed and Eyes-Move tasks (e.g., comparing

296	the Eyes-Fixed conditions to each other), using the split-half correlation-based method (Haxby et
297	al., 2001) for each participant and each ROI/network. This split-half procedure is necessary for
298	the within-task analysis to avoid confounds driven by the diagonal cells in the correlation matrix.
299	(The split-half procedure is not necessary for cross-run analyses; see Cross-task pattern similarity
300	analysis in later section.) We obtained GLMs for odd runs and even runs separately for each
301	participant; each GLM had 5 regressors for the Eyes-fixed task (blank baseline plus the 4 Eyes-
302	fixed conditions) and 11 regressors for the Eyes-move task (blank baseline plus the 10 Eyes-
303	move conditions from Figure 2), as well as 6 nuisance regressors from the motion correction
304	processing. For the following analyses, we focused on non-baseline conditions. For each GLM,
305	we normalized the voxel data (beta weights) by subtracting the mean response across all non-
306	baseline conditions from the response of each individual condition, for each voxel. This standard
307	demeaning procedure (Coutanche, 2013) was done within each fold of split-half data. The
308	response patterns (voxel-wise beta weights after de-meaning) for each condition in the even runs
309	were then correlated with the patterns for each condition in the odd runs, generating a correlation
310	matrix for each task. The correlation coefficients were transformed into z-scores using Fisher's r-
311	to-z transform.
312	We then calculated the following types of information based on the correlation matrix. In

the Eyes-fixed task: information about shift execution (holding vs shifting attention), hold attention location (holding left vs holding right), and shift direction (shifting leftward vs shifting rightward). In the Eyes-move task: information about saccade execution (saccade vs no saccade), saccade direction (saccade leftward vs saccade rightward), and reference frame (attend retinotopic task vs attend spatiotopic task). Specifically, we picked out cells in the matrix that reflected the same type of information ("within-category" correlations, e.g., holding attention

correlated with holding attention), and cells that reflected the different type of information
("between-category" correlations, e.g., holding attention correlated with shifting attention). The
information index was then calculated by subtracting the mean correlation values of "different"
cells from those of "the same" cells. A significantly-positive information index value would
indicate that there is some amount of information of this type in the ROI.

324

325

# 2) Multivoxel Pattern Time Course (MVPTC) analyses

326 The first step of analyses described above used regular whole-trial GLMs, which 327 modeled the whole 8 sec (4 TR) trial as a single event. However, since trials contained a 328 potential attention shift or saccade halfway through, the initial analysis might fail to capture 329 some dynamic brain representations. Thus, we also performed timecourse analyses using finite 330 impulse response (FIR) GLM analyses with 10 timepoints, on the same conditions as above. 331 Timepoint zero (TP0) corresponds to the start of the first task period in each trial (i.e., the onset 332 of RSVP stimuli). We fed those FIR GLMs into MVPA analyses (i.e., MVPTC, modified from 333 Chiu et al., 2012). Taking each time point as a separate dataset, we performed similar analyses as 334 above to calculate the information indices. The result figures show all 10 TPs in the FIR, but our 335 statistical analyses focus on three TPs that capture critical time periods in the trial, accounting for 336 BOLD signal lag: TP3 (before the shift/saccade happened), TP4 (capturing the shift/saccade), 337 TP5 (after the shift/saccade). It is also important to clarify that at the behavioral time period 338 corresponding to BOLD signals at TP3, participants did not know yet whether there would be an attentional shift or not (in eyes-fixed task), or a saccade or not (in Eyes-move task), because the 339 340 trials were intermixed; however, it was predictable that if there would be a shift/saccade, what 341 direction the shift/saccade would be, based on the attention location or the eye location within

# the first half of a trial.

343

344

3) Cross-task pattern similarity analysis

345 To directly compare the similarity *between* the brain activity patterns of covert attention 346 during Eyes-fixed and Eyes-move tasks, we performed a cross-task pattern similarity analysis for 347 both whole-trial and time-course beta weights. Because the Eyes-fixed and Eyes-move tasks 348 were performed in separate runs, we used GLMs of all runs instead of split-half to increase 349 power; that is, we took Eyes-fixed runs and Eyes-move runs as the two datasets for the 350 correlation analysis. After de-meaning the voxel-wise responses in the same way as above, we 351 calculated the z-scored correlation matrix comparing each condition in the Eyes-fixed task to 352 each saccade condition in the Eyes-move task. We then calculated the pattern similarity between 353 the following four pairings by averaging the z-scored correlation coefficients of corresponding 354 cells in the matrix: retinotopic-to-hold, retinotopic-to-shift, spatiotopic-to-hold, spatiotopic-to-355 shift. The similarity data were submitted to a 2 (Eyes-move conditions: retinotopic & spatiotopic) 356 by 2 (similarity to Eyes-fixed conditions: similarity-to-hold & similarity-to-shift) ANOVA. In 357 this ANOVA analysis, a main effect of similarity to Eyes-fixed conditions would indicate that 358 both retinotopic and spatiotopic attention (across saccades) are represented more similarly to 359 hold (or shift) attention than shift (or hold); an interaction would indicate relatively greater 360 similarity between retinotopic and holding attention & between spatiotopic and shifting attention 361 (or relatively greater similarity between spatiotopic and holding attention & between retinotopic and shifting attention). To help illustrate the result, we also plot the difference in pattern 362 363 similarity between spatiotopic-to-shift minus spatiotopic-to-hold correlations, and the difference 364 between retinotopic-to-shift minus retinotopic-to-hold correlations; the difference between these

365 difference scores reflects the interaction term from the ANOVA analysis above.

366

367 4) Whole-brain searchlight on cross-task pattern similarity analysis 368 Finally, we performed MVPA searchlight analyses (Kriegeskorte et al., 2006) to search 369 across the entire slice coverage, for clusters that might show patterns of interest outside our a 370 priori ROIs. The approach is similar to what is described above; instead of taking a priori ROIs, 371 we searched through individual brains iteratively with a "moving" ROI, defined as a sphere of 372 radius 3 voxels. On each iteration, MVPTC analyses were performed as described above on each 373 ROI sphere, and z-scored correlation values were assigned to the center voxel of this ROI sphere 374 to form z-maps for each subject. We generated such searchlight maps for three measures: the 375 difference in similarity between spatiotopic-to-shift and spatiotopic-to-hold correlations, the 376 difference between retinotopic-to-shift and retinotopic-to-hold correlations, and their interaction 377 (i.e. the interaction term in the ANOVA described in the prior section). Specifically, we focused 378 only on TP4, which theoretically captured the timepoint at shift/saccade. To generate these 379 difference maps, we first generated 4 searchlight maps for each individual subject, indexing each 380 pair of correlations: retinotopic-to-hold, spatiotopic-to-hold, retinotopic-to-shift, and spatiotopic-381 to-shift. We calculated the difference maps by comparing (subtracting) the appropriate similarity 382 maps for each subject accordingly. The resulting searchlight difference and interaction maps for 383 each individual were then spatially smoothed with a 4 mm FWHM kernel to facilitate group 384 analyses. Group t-value maps were constructed using two-tailed t-tests comparing the values for 385 each voxel against zero, correcting for cluster threshold in the same way as above. For the first 386 two difference maps, a positive t-value for a given voxel indicates that spatiotopic/retinotopic 387 attention is represented more similar to shifting than holding attention. For the final interaction

map, a positive t-value for a given voxel indicates that retinotopic attention across saccades is represented more similar to holding attention at fixation, and spatiotopic more similar to shifting attention (i.e., the "retinotopic-hold / spatiotopic-shift" pattern); a negative t-value indicates that retinotopic attention across saccades is represented more similar to shifting attention at fixation, and spatiotopic more similar to holding attention (i.e., the "spatiotopic-hold / retinotopic-shift" pattern).

394

### 395 Results

Our main theoretical question of interest is whether maintaining retinotopic or spatiotopic attention across saccades is represented relatively more like holds (or shifts) of attention at fixation. Our primary focus is thus on the cross-task similarity results from our a priori attentionrelated ROIs (along with an exploratory searchlight analysis). Before presenting these cross-task MVPA results, we first report the behavioral, univariate, and within-task MVPA results to establish the sensitivity of the paradigm and provide context for the cross-task results.

402

### 403 Initial results 1: Behavior

To evaluate participants' behavioral performance, we defined hits as correctly pressing a button within 1 sec in response to a "5" target at the attended location and false alarms as incorrectly pressing a button when there was no "5" target within 1 sec at the attended location. We calculated the hit rate by dividing the total number of hits in each trial by the total number of targets at the attended location (trials with 0 targets were omitted). We also calculated the false alarm rate by dividing the total number of false alarms in each trial by the total number of frames when there was no target presented in the attended RSVP stream. D-prime was calculated by 411 subtracting z-scored false alarm rates from z-scored hit rates.

412 Due to a coding mistake for data logging, two subjects did not have reliable behavioral 413 responses logged and were excluded from the analyses of behavioral performance. For the 414 remaining 10 subjects, the mean hit rate in Eves-fixed task was 66.17% (±5.07% standard 415 deviation), and the mean false alarm rate was 0.52% ( $\pm 0.14\%$  standard deviation); in Eyes-move 416 task, the mean hit rate was 65.67% ( $\pm 5.70\%$ ) and the mean false alarm rate was 0.50% ( $\pm 0.18\%$ ). 417 These two tasks were designed to be hard to make sure that participants maintained attention on 418 the cued location, so it is reasonable that participants' performance was not at ceiling. The d-419 prime measurements in both tasks were well above zero, t's $\geq$ 15.239, p's $\leq$ .001, Cohen's 420 d's  $\geq$  4.819, and there was no significant difference between the two tasks, t(9)=0.217, p=.833, 421 Cohen's d=0.069. In addition, there were no significant differences of d-prime between hold and 422 shift attention in Eyes-fixed task, between saccade and no saccade trials in Eyes-move task, and 423 between spatiotopic and retinotopic attention, all t's $\leq 2.083$ , p's $\geq .067$ , Cohen's d's $\leq 0.659$ .

424

### 425 Initial results 2: Univariate comparisons

426 To give a general view of how the brain activity looks like for each condition, Figure 4 427 plots the percent signal change in the time course as well as the univariate beta weights for our 428 two a priori attention-related ROIs. To better illustrate, we recoded the conditions to plot them 429 according to whether the attended side was ipsilateral/contralateral relative to the ROIs in each 430 hemisphere, and further collapsed across the RL and LR saccade directions in retinotopic 431 saccade trials (that is, only 8 conditions were shown in Eyes-move results). To make it 432 comparable for each condition, we subtracted the percent signal change or beta weights of 433 fixation baseline from all other conditions, in both Eyes-fixed and Eyes-move task. As shown in Figure 4, there was a separation in the attention shift network between holding and shifting
attention around TP4, as well as a clear pattern of contralateral attentional modulation in V4.

### 437 Initial results 3: MVPA of shifting vs holding attention (Eyes-fixed)

438 For the Eyes-fixed task, we examined whether we could decode from the brain patterns 439 information about shift execution (holding vs shifting attention trials), about hold attention 440 location (attending left vs right stream on hold trials), and about shift direction (shift left-right vs 441 shift right-left trials) (Figure 5A). From each of our a priori ROIs/networks, we conducted 442 correlation-based MVPA on the whole-trial GLM beta weights (Figure 5B). We also examined 443 how these three types of information develop over the time course of the trials (MVPTC), by 444 using beta weights from the FIR GLMs (Figure 5C). Table 2 lists t-test statistics for each of these 445 comparisons for the whole-trial betas and critical timepoints TP3, TP4, and TP5, corresponding 446 to the critical behavioral time periods before the shift/saccade happened, around the shift/saccade, 447 and after the shift/saccade was done.

448 For the information about shift execution (holding vs shifting attention trials), we did not 449 find significant information with the whole trial MVPA analyses in the attention shift network 450 nor in V4. However, recall that trials were 8-seconds long, and the hold and shift trials were 451 designed to be identical for the majority of the trial, except the transient shift occurring midway 452 through the trial. Indeed, when analyzing the time course in the attention shift network, we did 453 find significant information about shift execution at the critical TP4. There was a weak effect at 454 TP5 that did not pass correction for multiple comparisons, and no significant information about 455 shift execution for TP3, before the shift happened. The MVPTC analyses thus successfully 456 captured a transient change in activity pattern around the time when the shifts happened, in the

attention shift network (in V4 we found information about shift execution at TP4 that did not
pass correction for multiple comparisons). (Note that the attention shift network was defined by
the univariate contrast of shift > hold (with the whole-trial betas), so these MVPA results are not
completely independent, although a univariate effect alone (linear transform) could not drive a
correlation-based MVPA difference. Nonetheless, these MVPTC results are useful as a validity
check, and the remaining analyses that we focus on below are fully independent of the ROI
definitions.)

For the information about which location was attended on hold trials (holding left vs right stream), we found significant information in the whole-trial MVPA, in both the attention shift network and V4. MVPTC showed that this information was sustained for the duration of the trial and was significant at TP3, TP4 and TP5 in the attention shift network and V4, with the only exception at TP3 in the attention shift network. This is consistent with the behavioral task on these hold trials, in that participants maintained attention in one location throughout the entire trial.

471 The analogous analysis for the shift attention trials examines information about covert 472 attention shift direction (shift left-right vs shift right-left trials). We did not find significant 473 information in either ROI with whole-trial beta weights. The timecourse analyses may give some 474 insight into why. Interestingly, the MVPTC took a different shape than for the previous analyses; 475 here, instead of peaking at the critical TP4, the information was actually greater at TP3 and TP5 476 than at TP4 in both ROIs/networks. In V4, the shift direction information was significant at TP3 477 and TP5 but not TP4. This bimodal pattern also existed in the attention shift network numerically, 478 but all three TPs were significant. It should be noted that in our design, the direction of the 479 shifting was perfectly confounded with the location participants attended to before and after the

shift. Thus, the bimodal pattern may reflect a dynamic representation of which location was
being attended in the first half of the trial (peaking at TP3), and then after the attention shift in
the second half of the trial (peaking at TP5), rather than reflecting information about the shift
direction itself.

484

485 Initial results 4: MVPA of attention maintained across saccades (Eyes-move)

For the Eyes-move task, we used a similar approach of whole-trial MVPA followed by MVPTC to examine information about saccade execution (saccade vs no-saccade trials), and on saccade trials, about the saccade direction (leftward vs rightward saccade) and reference frame (retinotopic vs spatiotopic attention) (Figure 6, statistics in Table 2).

490 For the information about saccade execution (saccade vs no-saccade trials), we found 491 significant information in whole-trial MVPA analyses in the attention shift network. When 492 looking at time-course analyses, we found that the information was represented significantly in 493 V4 and the attention shift network at TP4, corresponding to the behavioral time period of 494 saccade execution. In the attention shift network, this information was also significant at both TP3 and TP5. Post hoc t-tests comparing the information indices at TP3/TP5 to TP4 showed that 495 496 the information at the critical TP4 was significantly greater than at TP3, t(11)=2.772, p=.018, 497 Cohen's d=0.800, but information at TP4 was only numerically larger than at TP5, t(11)=0.946, 498 p=.364, Cohen's d=0.273. It is possible that saccade preparation and saccade execution might 499 have elongated the process and thus blurred the effect temporally in the attention shift network. 500 For the information about saccade direction (right-left saccade vs left-right saccade), we 501 found weak information that did not pass correction with whole-trial MVPA in V4, but not in the 502 attention shift network. In the MVPTC, the saccade direction information was significant in all

503	three timepoints in V4, and at TP3 and TP5 in the attention shift network. Some of the
504	timecourses appeared to have a similar bimodal shape for information about saccade direction as
505	above for covert attention shift direction, perhaps again driven by information about attended
506	hemisphere over time (Extended data Figure 6-1). Interestingly, although both V4 and the
507	attention shift network represented information on saccade execution and saccade direction
508	information, V4 seems to have more information about saccade direction, whereas the attention
509	shift network had more information about saccade execution.
510	Finally, we did not find reference frame information (retinotopic-attention vs spatiotopic-
511	attention trials) in whole-trial MVPA analyses in either ROI. In the timecourse analysis, no
512	timepoints were significant in V4 or the attention shift network. Thus, our attentionally-
513	modulated ROIs did not appear to directly differentiate which reference frame participants were
514	maintaining attention in, though as noted above, they contained information about which location
515	was being attended at any given time, and whether saccades were being executed.
516	
517	Main results: Cross-task similarity analysis of covert attention at fixation and across saccades
518	The above results demonstrate that brain regions sensitive to attentional modulation (V4
519	and the attentional shift network) represent information about covert attention shifts and about
520	saccade execution. Now the key question is, how do representations of covert attention during
521	fixation compare to covert attention maintained across saccades? Depending on the reference
522	frame, both spatiotopic and retinotopic attention could be thought of as "hold" or "shift"
523	attention tasks: spatiotopic attention is maintained in the same location relative to the screen, but

shifted relative to our eyes, whereas retinotopic attention is the opposite. Is one or both of these

525 tasks represented more similarly to holding attention in some brain regions, and/or more

526 similarly to shifting attention elsewhere in the brain?

527	To answer these questions, we analyzed the pattern similarity between Eyes-fixed
528	conditions and Eyes-move conditions (Figure 7A). Rather than calculate information indices, in
529	this cross-task MVPA analysis we directly compare the representational similarity scores for
530	each cross-task pair of conditions (i.e., similarity between retinotopic and hold, between
531	spatiotopic and hold, between retinotopic and shift, and between spatiotopic and shift). We also
532	plot the difference scores between spatiotopic-to-shift minus spatiotopic-to-hold correlations, and
533	retinotopic-to-shift minus retinotopic-to-hold correlations. The results of this analysis are shown
534	in Figure 7B & 7C, and statistics from the $2 \times 2$ ANOVA are reported in Table 3 for each
535	ROI/network at each critical timepoint, as well as for the whole trial data.
536	In the whole-trial MVPA analysis, there was a significant main effect of similarity-to-
537	shift versus similarity-to-hold in both V4 and the attention shift network, in that the
538	representational similarity scores were generally higher when correlating the Eyes-move
539	conditions with the Eyes-fixed shift attention condition, compared to with the Eyes-fixed hold
540	attention condition. In the MVPTC analysis, this main effect was significant at critical timepoint
541	TP4 in both ROIs, and also at the neighboring timepoints TP3 and TP5 in the attention shift
542	network.
543	Post-hoc analyses with whole-trial data reveal that in V4, maintaining spatiotopic
544	attention across saccades was represented marginally more similarly to shift than to hold
545	(t(11)=2.141, p=.056, Cohen's  d=0.618), and there was no significant difference between
546	retinotopic-to-shift and retinotopic-to-hold correlations in the whole-trial analysis ( $t(11)=0.032$ ,
547	p=.975, Cohen's $d=0.009$ ). In the attention shift network, spatiotopic was marginally more
548	similar to shift than to hold ( $t(11)=2.056$ , $p=.064$ , Cohen's $d=0.594$ ), and retinotopic was

549 significantly more similar to shift than to hold (t(11)=3.306, p=.007, Cohen's d=0.954). The 550 interaction in the ANOVA was not significant in either ROI in the whole trial analysis. In the 551 MVPTC, post-hoc analyses at the critical timepoint TP4 showed that both retinotopic and 552 spatiotopic attention across saccades were significantly more similar to shift than to hold in both 553 V4 and the attention shift network (t's $\geq 2.387$ , p's $\leq .036$ , Cohen's d's $\geq 0.689$ ). The similarity-to-554 shift effect also seemed to be numerically greater for the spatiotopic compared to retinotopic 555 attention condition in V4, but again this interaction was not significant, nor was it significant in 556 the attention shift network.

557

### 558 Exploratory results: Whole-brain cross-task similarity searchlight

The above results suggest that both retinotopic and spatiotopic attention across saccades are represented more like shifts than holds of attention at fixation, with no significant interaction in our a priori attention ROIs indicating that one reference frame is represented more strongly than the other. As an exploratory analysis, we next asked: are there other areas in the brain that might show differential similarity patterns? We performed a searchlight analysis for a significant interaction effect at the critical time point TP4, as described in Methods.

In Figure 8A we first show the difference score searchlight maps between spatiotopic-toshift vs spatiotopic-to-hold, and retinotopic-to-shift vs retinotopic-to-hold. These difference score maps revealed that throughout the brain, both retinotopic and spatiotopic attention across saccades are widely represented as more similar to shifting attention than holding attention, consistent with our ROI findings.

570 Critically, the interaction map (Figure 8B) allowed us to extract potential regions that 571 significantly differentiate retinotopic and spatiotopic representations via one of two interaction patterns: (1) retinotopic relatively more similar to hold, and spatiotopic relatively more similar to shift; or (2) spatiotopic relatively more similar to hold, and retinotopic relatively more similar to shift. The searchlight revealed four clusters (Figure 8B and Table 4), all with the retinotopichold/spatiotopic-shift pattern. The clusters were located in ventral areas and superior parietal regions bilaterally, which were in later visual hierarchy in both ventral and dorsal pathways. No regions with the spatiotopic-hold/retinotopic-shift pattern survived the cluster threshold correction.

579 For illustration purposes, we plot the cross-task similarities for the regions identified in 580 the searchlight (Figure 8C; plots for separate clusters in Extended data Figure 8-1). Note that this 581 analysis is circular; we show the interaction patterns here for descriptive purposes only. The 582 interaction in these regions seems to be primarily driven by the spatiotopic comparisons, 583 particularly the high similarity between spatiotopic and shifting attention.

584

## 585 Discussion

586 In summary, we found that both spatiotopic and retinotopic attention across saccades 587 were represented more similarly to shifting compared to holding attention at fixation, especially 588 in the attention shift network. Our a priori attention ROIs did not reveal a significant interaction 589 between retinotopic and spatiotopic similarity, but our exploratory searchlight analysis revealed 590 some brain regions where maintaining spatiotopic attention was represented more similarly to 591 shifting attention and maintaining retinotopic attention was relatively more similar to holding 592 attention ("retinotopic-hold / spatiotopic-shift" regions), with no brain regions displaying the 593 opposite pattern.

594

In addition to these primary results, we were able to uncover several other signatures of

595	covert attention during fixation and across saccades from the multivoxel activation patterns in
596	various brain regions. First, pattern similarity results from within the Eyes-fixed task support the
597	validity of our design and analyses. In the visual and attention shift areas we could decode which
598	location the participants were holding attention at, even dynamically in the time course,
599	consistent with existing findings that attention modulates the activity in visual areas (Desimone
600	& Duncan, 1995) and pattern activities in shift-related areas can be used to decode attention in
601	the left vs right hemifield (Gmeindl et al., 2016). In the Eyes-move task we could similarly
602	decode which hemifield was being covertly attended both before and after the saccade (Extended
603	data Figure 6-1). We could also reliably decode from the Eyes-fixed task whether a covert
604	attention shift was executed in the middle of the trials, specifically at the critical timepoint TP4
605	which corresponds to the transient shift, consistent with time-course decoding results about shift
606	execution with SVM in Chiu, Esterman, Gmeindl, & Yantis, 2012. In the Eyes-move task,
607	information about saccade execution emerged at TP4 in V4, and at all of TP3, TP4, and TP5 in
608	the attention shift network. Below we discuss how our study contributes to the existing literature
609	and informs our understanding of the mechanisms of covert attention across saccades.
610	

# 611 Representational patterns for covert attention across saccades

How spatial attention is maintained/updated in particular reference frames across
saccades has been an open question in the literature, and it is actively debated with various
paradigms whether one reference frame is more native or dominant – and thus requires less
updating across saccades – than the other (Crespi et al., 2011; Fabius et al., 2016; Fairhall et al.,
2017; Golomb et al., 2008; Golomb & Kanwisher, 2012a, 2012b; Melcher & Morrone, 2003;
Satel et al., 2012; Shafer-Skelton & Golomb, 2017; Turi & Burr, 2012; Zimmermann et al.,

618	2013). In the case of spatial attention, it has been argued that attention pointers proactively
619	remap to compensate for saccades and maintain spatiotopic attention (Cavanagh et al., 2010;
620	Marino & Mazer, 2018; Rolfs et al., 2011), but also that attention might linger in retinotopic
621	coordinates even after a saccade (Golomb, 2019; Golomb et al., 2008, 2010; Jonikaitis et al.,
622	2012). More generally, spatiotopic remapping signals have been found in several brain regions,
623	including monkeys' lateral intraparietal area (LIP) (Duhamel et al., 1992), superior colliculus
624	(SC) (Walker et al., 1995), frontal eye field (FEF) (Umeno & Goldberg, 1997), and striate and
625	extrastriate cortex (Nakamura & Colby, 2002), and human visual and parietal cortex (Merriam et
626	al., 2003, 2007). Higher-level visual and parietal areas in particular have also been a focus of
627	much debate over dominant reference frames for neuronal receptive fields (Duhamel et al., 1997;
628	Snyder et al., 1998), fMRI adaptation (Baltaretu et al., 2018; Fairhall et al., 2017; McKyton &
629	Zohary, 2007; Zimmermann et al., 2016), functional organization (Crespi et al., 2011; d'Avossa
630	et al., 2007; Golomb & Kanwisher, 2012b; Ward et al., 2010), and attentional modulation
631	(Golomb et al., 2010; Rawley & Constantinidis, 2010).
632	In the current study, we approached this question from a different angle. As introduced
633	earlier, eye movements distinguish the two reference frames in a way that maintaining
634	retinotopic attention can be considered as "holding" a location relative to the eyes and "shifting"
635	relative to the world, and maintaining spatiotopic attention can be considered as "shifting"
636	relative to the eyes and "holding" relative to the world. To our knowledge, the current study is
637	the first attempt to directly compare the brain activity patterns of covert attention
638	maintained/updated in the periphery across saccades and during fixation. We found that in the
639	pre-defined attention shift network, maintaining both retinotopic and spatiotopic attention across
640	saccades evoked more similar representational patterns to covertly shifting attention than to

641 covertly holding attention at fixation. Perhaps this is not surprising, given that both retinotopic 642 and spatiotopic trials involved an eye movement, which is expected to engage attentional shifts 643 as discussed below. In that sense, it is less notable that both retinotopic and spatiotopic 644 resembled shifts more than holds per se; but the lack of a *relative* difference in representational 645 similarity is intriguing. If attention were represented more natively in one reference frame, we 646 may have predicted the other condition to show relatively more similarity to the shift condition. 647 Our exploratory searchlight analysis did reveal some regions where maintaining spatiotopic 648 attention across saccades was relatively more similar to shifting attention and retinotopic 649 relatively more to holding, but no regions with the opposite pattern.

650

651 Why were both retinotopic and spatiotopic attention represented like covert attention shifts?

652 Why did saccade trials of both reference frames have greater representational similarity to the covert shift attention trials than hold attention trials? We suggest that the answer may be 653 654 related to our within-task similarity analyses finding that information about both covert attention 655 shifts (in Eyes-Fixed runs) and saccade execution (in Eyes-Move runs) could be decoded from 656 our attention shift network. As mentioned in the introduction, overt and covert attention have 657 been found to involve overlapping brain areas (Beauchamp et al., 2001; Corbetta et al., 1998; de 658 Haan et al., 2008; Nobre et al., 2000; Perry & Zeki, 2000). Our study differed from these studies 659 in that the paradigm used in these previous studies typically involved overt and covert attention 660 shifts aiming at the same target. In our design, we tried to disentangle the saccade execution from the allocation of top-down task-directed attention, by using top-down covert retinotopic and 661 662 spatiotopic tasks. There are several possible interpretations of this overlap between 663 representations of saccades and covert attention shifts in our task that could account for why the

saccade and no-saccade trials may have produced differentiable activation patterns in the
attention shift network – and why in these areas, saccade trials of both reference frames may
have had greater representational similarity to the covert shift-attention trials in the cross-task
similarity analysis.

668 One reason could be that covert shifting of attention is directly involved in making a 669 saccade; i.e., the execution of the saccade required a pre-saccadic shift of attention towards the 670 saccade target, and this initial covert shift was what was driving the representational similarity to 671 the covert shift-attention trials. It has been widely shown that shifts of covert attention precede 672 saccade execution (Godijn & Pratt, 2002; Peterson et al., 2004), and pre-saccadic attention is 673 considered critical for determining the saccade endpoints to execute accurate saccades and 674 enhancing perceptual representations of the saccade target (Gersch et al., 2004; Zhao et al., 2012). 675 Even when the task is designed as attending to peripheral locations other than the saccade target, 676 there is evidence that attention is still pre-saccadically shifted to the saccade target (Kowler et al., 677 1995). In our experiment, the information about saccade vs no saccade in the attention shift 678 network emerged fairly early (around TP3), which could be related to the preparation stage (pre-679 saccadic shift stage) before the saccade was executed, potentially providing indirect support for 680 this account.

Another potential account is that the Eyes-move task involved a covert shift of attention not related to execution of the saccade per se, but due to perisaccadic updating or remapping of the peripheral focus of attention, on both retinotopic and spatiotopic saccade trials. Previous studies involving spatiotopic remapping have found anticipatory remapping signals in the lateral intraparietal sulcus in monkeys (Duhamel et al., 1992), which could overlap with our parietal attention shift regions in humans. As described earlier, maintaining retinotopic attention can be

seen as shifting attention relative to the screen/world, and maintaining spatiotopic attention can be seen as shifting attention relative to the eyes. It is possible that both types of attention in our task involved some updating process across saccades that engaged an attentional shift signal in this brain region, which would be consistent with our cross-task correlation results that both spatiotopic and retinotopic attention were more similar to shifting compared to holding attention in the attention shift network.

693 A third possibility could be that our Eyes-move task may have triggered a more generic 694 temporary disengaging/reengaging of top-down attention; i.e. a transient change or shift of 695 attention on saccade trials that might have occurred independently of saccade planning, 696 executing, or remapping processes. For example, although our task and instructions were 697 designed to encourage continuous attention, we cannot rule out the possibility that participants 698 may have approached the task as a serial attention task (attend the relevant stream, then 699 disengage to execute saccade, then reengage again on the relevant stream), instead of attending 700 continuously on the relevant stream. Or the abrupt onset of the saccade cue might have captured 701 attention and caused an involuntary shift of attention away from the to-be-attended location. In 702 cases like these examples, a transient shift in attention may have evoked representationally 703 similar patterns of activity in this region on saccade trials to the goal-directed shifts of covert 704 attention on fixation trials, without being directly related to the saccade itself. We found that 705 both maintaining retinotopic and spatiotopic attention are represented as more similar to shifting 706 than holding attention widely in dorsal and ventral areas (Figure 8A), possibly revealing this 707 generic representation of dynamic change. However, it is unlikely that this scenario could have 708 accounted for our full pattern of results, particularly the searchlight findings of the interaction. 709

# Why didn't we see greater differences between retinotopic and spatiotopic representations? 711 In general, we found less of a difference between retinotopic and spatiotopic conditions 712 than what might have been expected. In analyses directly comparing the two reference frames, 713 we did not reveal any representational difference between retinotopic and spatiotopic conditions 714 in the whole-trial MVPA in the attention shift network or other ROIs. In the MVPTC analyses, 715 significant information about retinotopic vs spatiotopic attention was only found in V1 at TP4, 716 but not in other pre-defined ROIs/networks or timepoints (Extended data Table 2-1). We further 717 probed for retinotopic vs spatiotopic differences with whole-brain MVPTC searchlight 718 (Extended data Figure 6-2) and a whole-brain univariate contrast (Extended data Figure 6-3), but 719 only small scattered regions were found outside of our a priori ROIs. 720 The behavioral performance confirms that participants were allocating attention properly, 721 but why didn't we find greater differences in retinotopic vs spatiotopic patterns in our attention-722 related ROIs? One important consideration is that our task was designed to equate visual input 723 across these two conditions. Both conditions contained constant, dynamic stimulation (RSVP 724 streams) in the same three locations; the only difference was which of the streams, depending on 725 which reference frame, was *attended* at any moment in time. This design is in contrast to a 726 design commonly used in prior studies probing other aspects of reference frames across saccades, 727 where only one stimulus is presented at a time, and retinotopic and spatiotopic conditions differ 728 in terms of both stimulus-driven visual input and attentional locus (e.g., Baltaretu et al., 2018; 729 <u>Crespi et al., 2011; d'Avossa et al., 2007; Fairhall et al., 2017; Gardner et al., 2008; Golomb & </u> 730 Kanwisher, 2012; McKyton & Zohary, 2007; Pertzov et al., 2011; Rawley & Constantinidis, 731 2010; Zimmermann et al., 2016).

- 732

710

Moreover, our analysis was designed to look for representational signatures associated

733	with attending in a retinotopic or spatiotopic reference frame across saccades (i.e., how shift- or
734	hold-like they were); not to ask whether we could decode which particular retinotopic or
735	spatiotopic locations were being attended. Early visual areas are known to be retinotopically
736	organized (Crespi et al., 2011; d'Avossa et al., 2007; Gardner et al., 2008; Golomb & Kanwisher,
737	2012b; Merriam et al., 2013; Sereno et al., 1995), and we would expect that at least in these areas,
738	attending to a particular retinotopic location across a saccade would look more similar to holding
739	covert attention at that same retinotopic location during fixation than to shifting attention to a
740	different retinotopic location (i.e., the brain activity pattern of RetL-RLsac would be more
741	similar to Hold-L compared to Shift-LR, for example). Indeed, we could decode which
742	hemifield(s) were attended on saccade trials (Extended data Figure 6-1), but this was not the goal
743	of our study. Instead, the primary goal of the current study was to ask more broadly, whether the
744	neural processes associated with maintaining attention in retinotopic (or spatiotopic) coordinates
745	across saccades evoked more similar representational patterns to holding compared to shifting
746	covert attention (at fixation). Thus, our analysis included correlations of conditions with both
747	hemifields (e.g., similarity between retinotopic and hold attention includes correlations between
748	RetL (with both RL and LR saccades) vs Hold-L, RetL vs Hold-R, RetR vs Hold-L, and RetR vs
749	Hold-R; same for other cross-task correlations; see Extended data Figure 7-1 for a more detailed
750	comparison between matching and not matching hemifields). This likely explains why we did
751	not find a Retinotopic-Hold / Spatiotopic-Shift effect with the cross-task similarity searchlight
752	analysis in early visual areas.
753	Instead, our cross-task pattern similarity analysis was better suited to reflect potential
754	connections between the representations of covert attention across saccades and during fixations,

independent of potential confounds from visual stimulation and hemifield-based attentional

756 effects. Thus, it is telling that our pre-defined ROIs – particularly the attention shift network – 757 did not show a difference in representational similarity between the retinotopic and spatiotopic 758 reference frames in the cross-task similarity analysis, such that both were more 759 representationally similar to shifting attention; but the exploratory searchlight analysis revealed 760 some potential regions where maintaining spatiotopic attention was relatively more shift-like 761 than retinotopic attention but not vice versa. This asymmetry may reflect the idea that retinotopic 762 attention is the more "native" coordinate system for spatial attention (Golomb et al., 2008) and 763 suggest potential regions for differentiating retinotopic and spatiotopic attention across saccades, 764 though it is interesting that neither this pattern nor the opposite pattern was found within the 765 attention shift network itself.

766

# 767 Interactions across brain regions

768 Our findings suggest that maintaining spatiotopic and retinotopic attention across 769 saccades may involve different types of updating that might be represented with "hold" and 770 "shift" signals combined across different sets of regions. Some regions might be involved in both 771 reference frames in a similar way (e.g., the attention shift network), and some other regions 772 might use "shift" signals to further differentiate these two updating processes. That these other 773 areas include bilateral anterior ventral areas and superior parietal regions, located in later visual 774 hierarchy in both ventral and dorsal pathways, may hold further clues for understanding this 775 complex process.

Our results support a close link between the neural mechanisms associated with covert
attention shifts during fixation and maintaining retinotopic/spatiotopic attention across saccades
in V4 and the attention shift network. In comparing the relative amounts and types of

779	information present in the attention shift network versus area V4 patterns, we found an intriguing
780	parallel; the attention shift network had relatively more information about the execution of covert
781	attention shifts and saccades, while V4 had more information about the location of covert
782	attention and the direction of saccades. This pattern aligns with the general understanding that
783	the attention shift network is more involved in the <i>execution</i> of shifting spatial attention, and V4
784	in the modulation of spatial attention (Yantis et al., 2002). Outside the domain of perisaccadic
785	processing, previous literature has shown that the attention shift network is associated with broad,
786	domain-independent brain activity for transient shifts of attention (Chica et al., 2013; Gmeindl et
787	al., 2016; Greenberg et al., 2010; Shomstein & Yantis, 2004, 2006; Yantis et al., 2002). Our
788	findings comparing covert attention shifts with attention updating across saccades further
789	indicate that the brain activity patterns associated with covert attention shifts may be widely and
790	reliably involved in various domains, contexts, and tasks.
791	In summary, coordination between different brain networks/regions may support more
792	flexible updating of attention across saccades in different contexts, raising interesting follow-up
793	questions regarding how and when this process might be achieved mechanistically, and how it is
794	related to behavior, development, and clinical implications.

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985Figure 1 Paradigms of the Eyes-fixed and Eyes-move tasks. (A) An example of an Eyes-Fixed, Shift-attention trial, where covert986attention is shifted from the left stream to the right stream; the letter cues "L" and "R" above and below the fixation cross987indicate "left" and "right". (B) An example of an Eyes-Move, maintain Retinotopic-attention trial, where covert attention is988maintained on the stream located to the right of fixation across the saccade; here the letter cues "L" and "R" indicate "left of989fixation" and "right of fixation", and "C" would indicate "center of screen" for maintain Spatiotopic-attention trials (see Figure 2990for examples). Red dotted circles (not shown in the actual experiment) indicate the digit stream that participants should attend991to according to the letter cue. Time 0s is taken as the onset of each trial, and orange dotted lines are to show that the onsets of992task period 1 and 2 were synced with scanner pulse in both Eyes-fixed and Eyes-move tasks.



Figure 2 Diagrams of all conditions. Each condition was separated into the first half (before shift/saccade) and the second half
 (after shift/saccade), shown as the top and bottom panel for each condition. White crosses indicate the fixation location, and
 white dotted circles indicate the attention location on the screen, corresponding to the letter cues above and below the fixation.
 Note that in our analyses, we did not separate the left and right fixation for retinotopic no-saccade conditions; that is, only the
 bolded conditions were included in the GLMs.

Attention shift network (Shift > Hold)







1002 1003 1004 Figure 3 The attention shift network. Result is based on group-level GLM contrasts on the volume space, after being clusterthreshold corrected at p<.05. The volume maps were projected onto an inflated brain only for visualization purpose. The black lines demonstrate the approximate coverage (slightly different for each subject).



1008Figure 4 FIR results of Eyes-fixed task (left column), Eyes-move task with no saccade trials (middle column), and Eyes-move task1009with saccade trials (right column). The pair of gray boxes along the x-axis in each plot indicates the time duration of the two task1010periods in the trial, and the vertical dashed lines indicate the onset of shift or saccade cues. Inset bar plots show the whole-trial1011beta weights for each condition in each ROI/network, color-coded in the same way as the corresponding FIR plots.



1013Figure 5 MVPA and MVPTC analyses and results of Eyes-fixed tasks. (A) Hypothetical matrices for hold vs shift, hold left vs right,1014and shift LR vs RL information. Cells colored in dark grey, green and red are the within-group correlations, and white cells are the1015between-group correlations. Light grey cells are not used in the corresponding analysis. The index value of each type of1016information is calculated by subtracting the z-scored between-group correlation coefficients from the z-scored within-group1017correlation coefficients. (B) The index value of each type of information in each ROI/network. (C) The index value of each type of1018information at 10 time points, in each ROI/network. Error bars represent SEM.



1020 Figure 6 MVPA and MVPTC analyses and results of the Eyes-move task. (A) Hypothetical matrices for information about: 1021 saccade vs no saccade, leftward vs rightward saccade, and spatiotopic vs retinotopic attention (across saccades). Orange lines 1022 separate conditions in spatiotopic ("attend center"), retinotopic left ("attend left of cross"), and retinotopic right ("attend right 1023 of cross") blocks. Cells colored in dark grey, red, and blue are the within-group correlations, and white cells are the between-1024 group correlations. Light grey cells are not used in the corresponding analysis. The index value of each type of information is 1025 calculated by subtracting the z-scored between-group correlation coefficients from the z-scored within-group correlation 1026 coefficients. (B) The index value of each type of information in each ROI/network; the scale is the same as Figure 5B. (C) The 1027 index value of each type of information at 10 time points, in each ROI/network; the scale is different from Figure 5B, 5C, and 6B. 1028 Error bars represent SEM. Extended Analyses are shown in Figure 6-1, 6-2, and 6-3.

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1031 1032 1033 Figure 7 Cross-task similarity analyses in a priori ROIs/networks. (A) A hypothetical matrix indicating each combination of similarity: Retinotopic-to-hold (blue), retinotopic-to-shift (magenta), spatiotopic-to-hold (red), and spatiotopic-to-shift (cyan). (B-1034 C) Pattern similarity (z-scored correlation coefficients) for each combination of conditions, for each ROI/network. (B) Pattern 1035 similarity based on whole-trial beta weights. Left: Similarity for each of the 4 cross-task pairings. Right: Pattern similarity 1036 difference scores, showing [Spatio-to-shift minus Spatio-to-hold] and [Retino-to-shift minus Retino-to-hold]. (C) Pattern 1037 similarity timecourses based on FIR beta weights for each of 10 time points. Top row: For each of the 4 cross-task pairings; 1038 Bottom two: Pattern similarity difference scores as in B. Error bars represent SEM. Note that the roughly symmetrical patterns of 1039 the timecourse plots are likely due to the de-meaning step of subtracting the grand mean activity across conditions for each 1040 timepoint's MVPA analysis, but it does not influence the interpretation for the main effects and interactions. (see Methods).







Hold) Hold)

1047	showing a significant interaction effect. Regions exhibiting a significant retino-hold/spatio-shift pattern shown in blue; Regions
1048	exhibiting a significant spatio-hold/retino-shift pattern shown in scarlet (no clusters passed significance threshold for this
1049	contrast). All searchlights are based on cross-task MVPTC, using the pattern correlation difference at TP4, with direction of
1050	contrast as indicated in the legends. The searchlight maps were corrected for cluster-threshold in the same way as other brain
1051	maps. Searchlight analyses were conducted on the volume maps and projected onto an inflated brain for visualization purpose.
1052	(C) Pattern similarity in the whole-trial (left) and in timecourses (middle) for each combination of conditions, and the difference
1053	scores for similarity-to-shift and similarity-to-hold (right), shown for the retino-hold/spatio-shift areas extracted from (B) (all
1054	voxels averaged into single network; for separate plots for each individual area, see Extended data Figure 8-1). Plots are for
1055	illustrative purposes only to explore the specific pattern driving the significant interaction. Error bars represent SEM.

# 1058Table 1 Description of clusters in the attention shift network, including Talairach coordinates of the peak voxel, number of voxels,1059and t values.

Networks	Areas	Hemisphere	TAL coordinates of peak voxel				
			Х	у	Z	# of voxels	t value (df=11)
Attention	Superior Temporal Gyrus	R	63	-39	14	398	6.5813
shift network		L	-53	-53	12	180	7.4536
network	Middle Temporal Gyrus	R	41	-61	6	389	6.5224
	Inferior Occipital Gyrus	R	31	-81	-4	254	6.8871
		L	-37	-77	-4	226	5.7041
	Inferior Parietal Lobule	L	-37	-37	42	1388	5.5973
	Lingual Gyrus (posterior)	L	-11	-87	-14	163	5.2757
	Lingual Gyrus (anterior)	L	-27	-61	4	133	4.6952
	Superior Frontal Gyrus	L	-17	-13	74	146	4.9916

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	V4	Attention shift network
Hold or Shift	<i>t</i> (11)=0.451, <i>p</i> =.661, <i>d</i> =0.130 TP3: <i>t</i> (11)=0.608, <i>p</i> =.555, <i>d</i> =0.176 TP4: <i>t</i> (11)=2.507, <i>p</i> =.029, <i>d</i> =0.724* TP5: <i>t</i> (11)=0.394, <i>p</i> =.701, <i>d</i> =0.114	t(11)=1.755, p=.107, d=0.507 TP3: t(11)=0.278, p=.787, d=0.080 TP4: t(11)=2.853, p=.016, d=0.823** TP5: t(11)=2.316, p=.041, d=0.668*
Hold L or Hold R	t(11)=4.843, p<.001, d=1.380** TP3: $t(11)=4.818, p<.001, d=1.391**$ TP4: $t(11)=4.709, p<.001, d=1.359**$ TP5: $t(11)=4.521, p<.001, d=1.305**$	$t(11)=2.645, p=.023, d=0.764^{**}$ TP3: $t(11)=2.025, p=.068, d=0.585$ TP4: $t(11)=3.326, p=.007, d=0.960^{**}$ TP5: $t(11)=2.834, p=.016, d=0.818^{**}$
Shift leftward or rightward	t(11)=0.682, p=.510, d=0.197 TP3: $t(11)=4.840, p<.001, d=1.397**$ TP4: $t(11)=1.975, p=.074, d=0.570$ TP5: $t(11)=2.839, p=.016, d=0.820**$	t(11)=0.040, p=.969, d=0.012 TP3: t(11)=4.903, p<.001, d=1.415** TP4: t(11)=2.273, p=.044, d=0.656** TP5: t(11)=2.310, p=.041, d=0.667*
Saccade or no saccade	t(11)=1.452, p=.175, d=0.419 TP3: $t(11)=2.056, p=.064, d=0.594$ TP4: $t(11)=3.305, p=.007, d=0.954**$ TP5: $t(11)=2.014, p=.069, d=0.581$	t(11)=4.432, p=.001, d=1.279** TP3: t(11)=2.598, p=.025, d=0.750** TP4: t(11)=2.956, p=.013, d=0.853** TP5: t(11)=7.249, p<.001, d=2.093**
Saccade leftward or rightward	<i>t</i> (11)=2.730, <i>p</i> =.020, <i>d</i> =0.788* TP3: <i>t</i> (11)=4.113, <i>p</i> =.002, <i>d</i> =1.187** TP4: <i>t</i> (11)=7.401, <i>p</i> <.001, <i>d</i> =2.136** TP5: <i>t</i> (11)=3.370, <i>p</i> =.006, <i>d</i> =0.973**	<i>t</i> (11)=1.771, <i>p</i> =.104, <i>d</i> =0.511 TP3: <i>t</i> (11)=4.420, <i>p</i> =.001, <i>d</i> =1.276** TP4: <i>t</i> (11)=1.775, <i>p</i> =.104, <i>d</i> =0.512 TP5: <i>t</i> (11)=3.615, <i>p</i> =.004, <i>d</i> =1.044**
Retinotopic or spatiotopic	t(11)=0.504, p=.625, d=0.145 TP3: $t(11)=0.067, p=.948, d=0.019$ TP4: $t(11)=0.101, p=.921, d=0.029$ TP5: $t(11)=0.819, p=.430, d=0.237$	<i>t</i> (11)=0.074, <i>p</i> =.943, <i>d</i> =0.021 TP3: <i>t</i> (11)=0.816, <i>p</i> =.432, <i>d</i> =0.236 TP4: <i>t</i> (11)=1.295, <i>p</i> =.222, <i>d</i> =0.374 TP5: <i>t</i> (11)=1.095, <i>p</i> =.297, <i>d</i> =0.316

1062 Table 2 Statistical tests of information indices in each ROI/network, separately for whole-trial analyses and time points of 1063 interest in the time-course analyses. N=12.

\* indicate statistical significance at p<.05

1064 1065 1066 \*\* indicate statistical significance at p<.05 (Holm-Bonferroni corrected for multiple post hoc comparisons, separately across

ROIs/networks for whole-trial MVPA, and across three TPs for MVPTC)

1067 Table 3 Statistics of 2×2 repeated-measure ANOVAs for each ROI at TP3, TP4, and TP5 respectively, on pattern similarity 1068 between Eyes-fixed conditions (hold & shift attention) and Eyes-move conditions (spatiotopic & retinotopic attention), 1069 separately for whole-trial analyses and time points of interest.

	V4	Attention shift network
Main effect of similarity to Eyes-fixed conditions (hold & shift)	F=8.367, p=.015, $\eta_p^2$ =.432** TP3: F=2.549, p=.139, $\eta_p^2$ =.188 TP4: F=13.113, p=.004, $\eta_p^2$ =.544** TP5: F=4.269, p=.063, $\eta_p^2$ =.280	F=18.892, p=.001, $\eta_p^2$ =.632** TP3: F=15.604, p=.002, $\eta_p^2$ =.587** TP4: F=15.293, p=.002, $\eta_p^2$ =.582** TP5: F=29.311, p<.001, $\eta_p^2$ =.727**
Main effect of Eyes-	$F=0.486, p=.500, \eta_p^2=.042$	$F=0.291, p=.601, \eta_p^2=.026$
move conditions	TP3: F=0.510, p=.490, $\eta_p^2=.044$	TP3: F=0.291, p=.600, $\eta_p^2=.026$
(spatiotopic &	TP4: F=0.922, p=.358, $\eta_p^2=.077$	TP4: F=5.514, p=.039, $\eta_p^2=.334^*$
retinotopic)	TP5: F=0.184, p=.676, $\eta_p^2=.016$	TP5: F=0.827, p=.383, $\eta_p^2=.070$
Interaction between	F=1.672, p=.223, $\eta_p^2$ =.132	$F=0.091, p=.768, \eta_p^2=.008$
similarity to Eyes-fixed	TP3: F=0.182, p=.678, $\eta_p^2$ =.016	TP3: F=0.640, p=.441, $\eta_p^2=.055$
conditions and similarity	TP4: F=0.727, p=.412, $\eta_p^2$ =.062	TP4: F=0.348, p=.567, $\eta_p^2=.031$
to Eyes-move conditions	TP5: F=3.407, p=.092, $\eta_p^2$ =.236	TP5: F=0.351, p=.566, $\eta_p^2=.031$

\* indicate statistical significance at p<.05

1070 1071 1072 1073 \*\* indicate statistical significance at p<.05 (Holm-Bonferroni corrected for multiple post hoc comparisons, separately across ROIs/networks for whole-trial beta weights, and across three TPs for time-course beta weights)

1074 1075 Table 4 Description of clusters in regions with the retinotopic-hold pattern, including Talairach coordinates of the peak voxel, 1076 number of voxels, and t values.

Networks	Areas	Hemisphere	TAL coordinates of peak voxel				
			Х	у	Z	# of voxels	t values (df=11)
	Parahippocampal Gyrus	R	39	-49	4	691	4.0403
Retinotonic-hold	Fusiform Gyrus	L	-37	-59	-12	708	4.9133
Reunotopie-noid	Precuneus	R	11	-60	67	884	3.9127
	Paracentral Lobule	L	-3	-41	60	472	3.4624

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### 1079 Extended data



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 Figure 6-1 Extended Data showing MVPTC results of information about the hemifield attended (left or right) before and after the saccade separately (in the Eyes-move task). The index values of each type of information at 10 time points are plotted for each ROI/network. Error bars represent SEM. Results show that we could decode which hemifield was being covertly attended both before and after the saccade.



1086<br/>1087Figure 6-2 Extended Data showing information of retinotopic vs spatiotopic attention in searchlight analyses, for time point 3, 4,<br/>and 5 separately. This whole-brain analysis is analogous to Figure 6, blue condition (information about spatiotopic vs<br/>retinotopic). Red areas show significant information after cluster-threshold correction at p<.05. The viewing angle for each row<br/>is left lateral, left medial, right lateral, and right medial, respectively.



Spatiotopic > Retinotopic



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1098 1099 1100 Figure 6-3 Extended Data showing univariate differences, based on whole-trial betas, between saccade and no saccade conditions in the Eyes-move task (top), and between retinotopic and spatiotopic conditions in the Eyes-move task (bottom). For each contrast, significant clusters in the positive direction are shown in green and negative in orange. Maps were cluster threshold corrected at p<.05. For Spatiotopic > Retinotopic contrast, the only significant cluster found was located in the left hemisphere, so only the left lateral viewing angle is shown here.



Figure 7-1 Extended data showing an alternative way to analyze cross-task similarity – matching the hemispheric locations of covert attention, e.g., only correlating RetL and HoldL (RetR and HoldR) to calculate similarity between retinotopic and hold. Compared with the analysis in the paper (Panel A), here we perform this alternative analysis based on matching the first half of the trial (before shift/saccade) (Panel B) and matching the second half of the trial (after shift/saccade) (Panel C).

In Panel B, we can see that in both ROIs, at time point 3 when BOLD signals corresponds to the first half of trial, all four pairs of correlations are positive, because we have explicitly matched the retinotopic location of attention for all. In the second half of the trial, now the correlations between retinotopic and hold (blue) and between spatiotopic and shift (cyan) are greater than those between retinotopic and shift (magenta) and spatiotopic and hold (red). This looks like the retinotopic attention condition has more representational similarity to holding attention, and the spatiotopic is more similar to shift, as reflected in the difference score plots. Panel C can be interpreted in a similar way. But again, this interpretation would be biased because we explicitly defined the conditions in terms of their retinotopic locations; thus, it is an unsurprising result. (Note, though, that the difference score plots are not symmetrical around zero, especially for the attention shift network; if the ROIs coded attention in a purely retinotopic analysis still reveals a pattern consistent with our original conclusions: that both retinotopic and spatiotopic and spatiotopic attention.)





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 Figure 8-1 Extended Data showing univariate activation (the first three columns) and cross-task pattern similarities (the last column), separately for each cluster of the retinotopic-hold regions from the exploratory searchlight analyses. The univariate activation plots were comparable to Figure 4, and the pattern similarity plots to Figure 7C & 8B.













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